

Edgar Filing: PINNACLE WEST CAPITAL CORP - Form 5

PINNACLE WEST CAPITAL CORP

Form 5

February 14, 2001

FORM 5

OMB APPROVAL

- ☐ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- ☐ Form 3 Holdings Reported
- ☐ Form 4 Transactions Reported

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person\*

Gallagher Michael L.

(Last) (First) (Middle)

2575 E. Camelback Rd.

(Street)

Phoenix AZ 85016-9225

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Pinnacle West Capital Corporation (PNW)

3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)

4. Statement for Month/Year

12/31/00

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

7. Individual or Joint/Group Filing (Check Applicable Line)

☒ Form filed by One Reporting Person

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[ ] Form filed by More than One Reporting Person

TABLE I--NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED

| 1.Title of Security<br>(Instr. 3) | 2.Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 3.Trans-<br>action<br>Code<br>(Instr.8) | 4.Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5.Amount of<br>Securities Ben-<br>eficially Owned<br>at end of<br>Issuer's Fiscal<br>Year (Instr. 3<br>and 4) | 6.Owner-<br>ship<br>Form:<br>rect (Instr. 3, 4 and 5) |
|-----------------------------------|--------------------------------------------------------|-----------------------------------------|------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|
| Common Stock                      | 7/3/00                                                 | A                                       | 900                                                                    | A                                                                                                             | D                                                     |
| Common Stock                      | 1/25/01                                                | A                                       | 620                                                                    | A                                                                                                             | D                                                     |

\* If the form is filed by more than one reporting person, see Instruction 4(b) (v). Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Table II--DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.Title of Derivative<br>Security (Instr. 3) | 2.Conver-<br>sion or<br>Exercise<br>Price of<br>Derivative<br>Security | 3.Trans-<br>action<br>Date<br>(Month/<br>Day/Year) | 4.Transac-<br>tion<br>Code<br>(Instr.8) | 5.Number of Derivative<br>Securities Acquired<br>(A) or Disposed of<br>(D) (Instr. 3,4, and 5) | 6.Date Exe-<br>cutable and<br>ration D<br>(Month/D |
|----------------------------------------------|------------------------------------------------------------------------|----------------------------------------------------|-----------------------------------------|------------------------------------------------------------------------------------------------|----------------------------------------------------|
|                                              |                                                                        |                                                    |                                         | (A) (D)                                                                                        | Date<br>Exer-<br>cisable                           |

N/A

| 7.Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) | 8. Price<br>of Derivative<br>Security<br>(Instr. 5) | 9. Number<br>of Derivative<br>Securities<br>Beneficially<br>Owned at<br>End of Year<br>Year (Instr.4) | 10. Ownership<br>of Derivative<br>Security:<br>Direct<br>(D) or Indirect<br>(I) (Instr.4) | 11. Nat<br>of<br>Ben<br>Own<br>(In |
|--------------------------------------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------|------------------------------------|
| Amount or<br>Number of<br>Shares                                   |                                                     |                                                                                                       |                                                                                           |                                    |
| Title                                                              |                                                     |                                                                                                       |                                                                                           |                                    |

Explanation of Responses:

Michael L. Gallagher

2/14/01

Michael L. Gallagher

Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal

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Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, see Instruction 6 for procedure.

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