**CARNIVAL CORP** Form 4 May 11, 2015

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

Expires:

Form filed by More than One Reporting

3235-0287 Number: January 31,

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **GLASIER RICHARD** Issuer Symbol CARNIVAL CORP [CCL] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director 10% Owner \_ Other (specify Officer (give title 122 CRYSTAL CANYON DRIVE 05/07/2015 below) (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

#### CARBONDALE, CO 81623

| (City)          | (State) (           | Table Table        | e I - Non-D | erivative S  | Secur     | ities Acq    | uired, Disposed of | f, or Beneficial | ly Owned     |
|-----------------|---------------------|--------------------|-------------|--------------|-----------|--------------|--------------------|------------------|--------------|
| 1.Title of      | 2. Transaction Date | 2A. Deemed         | 3.          | 4. Securit   | ies A     | equired      | 5. Amount of       | 6. Ownership     | 7. Nature of |
| Security        | (Month/Day/Year)    | Execution Date, if | Transactio  | on(A) or Dis | spose     | d of (D)     | Securities         | Form: Direct     | Indirect     |
| (Instr. 3)      |                     | any                | Code        | (Instr. 3, 4 | 4 and     | 5)           | Beneficially       | (D) or           | Beneficial   |
|                 |                     | (Month/Day/Year)   | (Instr. 8)  |              |           |              | Owned              | Indirect (I)     | Ownership    |
|                 |                     |                    |             |              |           |              | Following          | (Instr. 4)       | (Instr. 4)   |
|                 |                     |                    |             |              | (4)       |              | Reported           |                  |              |
|                 |                     |                    |             |              | (A)       |              | Transaction(s)     |                  |              |
|                 |                     |                    | Code V      | Amount       | or<br>(D) | Price        | (Instr. 3 and 4)   |                  |              |
| Common<br>Stock | 05/07/2015          |                    | S           | 4,000        | D         | \$ 44.59 (1) | 24,686,485<br>(2)  | D                |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: CARNIVAL CORP - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer     | cisable and | 7. Titl | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|------------------|-------------|---------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D     | ate         | Amou    | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/      | Year)       | Under   | lying    | Security    | Secur  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e                |             | Securi  | ities    | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | 3                |             | (Instr. | 3 and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |                  |             |         |          |             | Follo  |
|             |             |                     |                    |            | (A) or     |                  |             |         |          |             | Repo   |
|             |             |                     |                    |            | Disposed   |                  |             |         |          |             | Trans  |
|             |             |                     |                    |            | of (D)     |                  |             |         |          |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |                  |             |         |          |             |        |
|             |             |                     |                    |            | 4, and 5)  |                  |             |         |          |             |        |
|             |             |                     |                    |            |            |                  |             |         | Amount   |             |        |
|             |             |                     |                    |            |            |                  |             |         | or       |             |        |
|             |             |                     |                    |            |            | Date             | Expiration  | Title   | Number   |             |        |
|             |             |                     |                    |            |            | Exercisable Date | Date        | 11110   | of       |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |                  |             |         | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |

**GLASIER RICHARD** 122 CRYSTAL CANYON DRIVE X CARBONDALE, CO 81623

## **Signatures**

/s/ Richard 05/11/2015 Glasier Date

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- This transaction was executed in multiple trades at prices ranging from \$44.04 to \$44.71. The price reported reflects the average sale (1) price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) Includes shares acquired pursuant to the dividend reinvestment feature of the reporting person's brokerage account.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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