**CARNIVAL PLC** 

Form 4

January 10, 2007

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* **ARISON SHARI** 

2. Issuer Name and Ticker or Trading Symbol

Issuer

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction

(Month/Day/Year) 01/09/2007

C/O ISRAEL ARISON FOUNDATION, MARCAZ GOLDA CTR 23 SHAUL HAMALECH **BLVD** 

(First)

(Middle)

(Zip)

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

5. Relationship of Reporting Person(s) to

Director

below)

(Check all applicable)

Officer (give title \_\_X\_ Other (specify

See Footnote (1)

below)

10% Owner

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TEL AVIV, L3 64367

(State)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially Owned Following Reported

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Ownership Form: Direct (D) or Indirect (I) (Instr. 4)

Ι

7. Nature of Indirect Beneficial Ownership (Instr. 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

(A)

Ordinary 0 D Shares

Trust Shares (beneficial interest in special voting

share) (2) (3)

01/09/2007

600 (4) S

1,200

By Children

 $D^{(1)}$ 4,675,908

Trust Shares (beneficial interest in special voting share) (2) (3)					\$ 50.16		
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	800 (4)	D	\$ 50.17	4,675,108	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	2,000 (4)	D	\$ 50.18	4,673,108	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	4,100 (4)	D	\$ 50.19	4,669,008	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	800 (4)	D	\$ 50.2	4,668,208	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	1,300 (4)	D	\$ 50.21	4,666,908	D (1)
Trust Shares (beneficial interest in special	01/09/2007	S	400 (4)	D	\$ 50.22	4,666,508	D (1)

voting share) (2) (3)							
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	1,100 (4)	D	\$ 50.25	4,665,408	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	2,100 (4)	D	\$ 50.27	4,663,308	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	700 (4)	D	\$ 50.28	4,662,608	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	200 (4)	D	\$ 50.29	4,662,408	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	900 (4)	D	\$ 50.3	4,661,508	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	64,700 (4)	D	\$ 50.35	4,596,808	D (1)
Trust Shares (beneficial	01/09/2007	S	17,200 (4)	D	\$ 50.36	4,579,608	D (1)

interest in special voting share) (2) (3)							
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	8,400 (4)	D	\$ 50.37	4,571,208	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	1,900 (4)	D	\$ 50.38	4,569,308	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	3,300 (4)	D	\$ 50.39	4,566,008	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	15,800 (4)	D	\$ 50.4	4,550,208	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	1,100 (4)	D	\$ 50.41	4,549,108	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	7,200 (4)	D	\$ 50.42	4,541,908	D (1)
	01/09/2007	S		D		4,526,308	D (1)

Trust Shares (beneficial interest in special voting share) (2) (3)			15,600 (4)		\$ 50.43		
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	12,500 (4)	D	\$ 50.44	4,513,808	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	112,785 (4)	D	\$ 50.45	4,401,023	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	29,441 ( <u>4)</u>	D	\$ 50.46	4,371,582	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	71,310 (4)	D	\$ 50.47	4,300,272	D (1)
Trust Shares (beneficial interest in special voting share) (2) (3)	01/09/2007	S	41,164 ( <u>4)</u>	D	\$ 50.48	4,259,108	D (1)
Trust Shares (beneficial interest in special	01/09/2007	S	28,200 ( <u>4</u> )	D	\$ 50.49	4,230,908	D (1)

voting share)  $\frac{(2)}{(3)}$ 

Trust Shares (beneficial

interest in 01/09/2007

special

37,500 S (4)

\$ 50.5 4,193,408

 $D^{(1)}$ 

share) (2) (3)

voting

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise any Price of (Instr. 3) (Month/Day/Year) Derivative Security

5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or

Code V (A) (D)

of (D)

(Instr. 3, 4, and 5)

6. Date Exercisable and **Expiration Date** (Month/Day/Year) Disposed

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

8. Price of Derivative Security (Instr. 5)

Secu Bene Own Follo Repo Trans (Insti

9. Nu

Deriv

Date Exercisable Date

Amount or Expiration Title Number

of

Shares

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other See

(1)

Footnote

**ARISON SHARI** C/O ISRAEL ARISON FOUNDATION MARCAZ GOLDA CTR 23 SHAUL HAMALECH BLVD

**TEL AVIV, L3 64367** 

**Signatures** 

/s/ John J. O'Neil, Attorney-in-Fact

01/10/2007

Date

\*\*Signature of Reporting Person

Reporting Owners 6

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the trust shares (the "Trust Shares") of beneficial interests in P&O Princess Special Voting Trust (the "Trust") and an interest in the Carnival plc special voting share.
- (1) However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Trust Shares and an interest in the Carnival plc special voting share for purposes of Section 16 or for any other purpose.
  - Represents Trust Shares of beneficial interests in the Trust. In connection with the dual listed company transaction between Carnival plc (formerly known as P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to holders of common stock of Carnival
- (2) Corporation (the "Carnival Corporation Common Stock"). Following the completion of the DLC Transaction, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (3) The prices included on this form represent the sales price for the paired Trust Shares and shares of Carnival Corporation Common Stock.
- (4) The shares covered by this form are being sold pursuant to a Rule 10b5-1(c) sales plan dated January 3, 2007.

#### **Remarks:**

1 of 2 Form 4s

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.