**CARNIVAL CORP** 

Form 4

October 22, 2004

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* TED ARISON FAMILY FOUNDATION USA INC

> (First) (Last)

C/O ARNALDO PEREZ, 3655 NORTH WEST 87TH AVE.

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

#### CARNIVAL CORP [CCL]

3. Date of Earliest Transaction (Month/Day/Year) 10/20/2004

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Officer (give title \_\_X\_ Other (specify below) below) See footnote 2 below

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MIAMI, FL 33178-2428

| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-I                            | Derivative  | Securi | ities Acqu   | ired, Disposed of  | , or Beneficial   | y Owned |
|--------------------------------------|---|---|--|---|--------|--|--|---|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or |        | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
| Common<br>Stock                      | 10/20/2004                              |   | Code V<br>S                            | Amount 20,000 (1)   | (D)    | Price \$ 49.05   | 31,779   | D (2)   |         |
| Common<br>Stock                      | 10/20/2004                              |   | S                                      | 3,700<br>(1)  | D      | \$ 49.2  | 28,079   | D (2)   |         |
| Common<br>Stock                      | 10/20/2004                              |   | S                                      | 600 (1)   | D      | \$<br>49.21  | 27,479   | D (2)   |         |
| Common<br>Stock                      | 10/20/2004                              |   | S                                      | 800 (1)   | D      | \$<br>49.22  | 26,679   | D (2)   |         |
| Common<br>Stock                      | 10/20/2004                              |   | S                                      | 300 (1)   | D      | \$<br>49.23  | 26,379   | D (2)   |         |

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| Common<br>Stock | 10/20/2004 | S | 300 (1)       | D | \$<br>49.24 | 26,079 | D (2) |
|-----------------|------------|---|---------------|---|-------------|--------|-------|
| Common<br>Stock | 10/20/2004 | S | 26,079<br>(1) | D | \$<br>49.25 | 0      | D (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | <b>.</b>            | ate                | 7. Title<br>Amour<br>Underl<br>Securit<br>(Instr. 2 | nt of<br>ying                          | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---|--|---|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title 1   | Amount<br>or<br>Number<br>of<br>Shares |   |

## **Reporting Owners**

| Reporting Owner Name / Address       | Keiauonsnips |           |         |                |  |  |  |
|--------------------------------------|--------------|-----------|---------|----------------|--|--|--|
| . 6                                  | Director     | 10% Owner | Officer | Other          |  |  |  |
| TED ARISON FAMILY FOUNDATION USA INC |              |           |         |                |  |  |  |
| C/O ARNALDO PEREZ                    |              |           |         | See footnote 2 |  |  |  |
| 3655 NORTH WEST 87TH AVE.            |              |           |         | below          |  |  |  |
| MIAMI, FL 33178-2428                 |              |           |         |                |  |  |  |

## **Signatures**

/s/ John J. O'Neil, Attorney-in-Fact for The Ted Arison Family Foundation USA, Inc.

\*\*Signature of Reporting Person

Date

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated May 27, 2004.

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The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival

Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.