**CARNIVAL CORP** 

Form 4

October 20, 2004

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * TED ARISON FAMILY | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |  |  |  |
|---|--|--|--|--|--|
| FOUNDATION USA INC  | CARNIVAL CORP [CCL]                                | (Check all applicable)                           |  |  |  |
| (Last) (First) (Middle)                                     | 3. Date of Earliest Transaction                    | ()   |  |  |  |
|   | (Month/Day/Year)                                   | Director 10% Owner                               |  |  |  |
| C/O ARNALDO PEREZ, 3655                                     | 10/18/2004   | Officer (give titleX Other (specify below)       |  |  |  |

See footnote 2 below

NORTH WEST 87TH AVE.

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MIAMI, FL 33178-2428

| (City)                               | (State)                                 | (Zip) Table   | e I - Non-D   | erivative    | Secur            | ities Acq   | uired, Disposed o  | f, or Beneficial  | ly Owned |
|--------------------------------------|---|---|---|--------------|------------------|-------------|--|---|----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) |              |                  | d of (D)    | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |          |
|                                      |   |   | Code V  | Amount       | (A)<br>or<br>(D) | Price       | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |   |          |
| Common<br>Stock                      | 10/18/2004                              |   | S   | 7,600<br>(1) | D                | \$<br>49.05 | 84,179   | D (2)   |          |
| Common<br>Stock                      | 10/18/2004                              |   | S   | 4,400<br>(1) | D                | \$<br>49.06 | 79,779   | D (2)   |          |
| Common<br>Stock                      | 10/18/2004                              |   | S   | 300 (1)      | D                | \$<br>49.07 | 79,479   | D (2)   |          |
| Common<br>Stock                      | 10/18/2004                              |   | S   | 800 (1)      | D                | \$<br>49.08 | 78,679   | D (2)   |          |
| Common<br>Stock                      | 10/18/2004                              |   | S   | 1,800<br>(1) | D                | \$<br>49.09 | 76,879   | D (2)   |          |

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| Common<br>Stock | 10/18/2004 | S | 1,000 D          | \$ 49.1     | 75,879 | D (2) |
|-----------------|------------|---|------------------|-------------|--------|-------|
| Common<br>Stock | 10/18/2004 | S | 1,300 D          | \$<br>49.12 | 74,579 | D (2) |
| Common<br>Stock | 10/18/2004 | S | 700 <u>(1)</u> D | \$<br>49.17 | 73,879 | D (2) |
| Common<br>Stock | 10/18/2004 | S | 2,100 D          | \$<br>49.19 | 71,779 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 4,500 D          | \$<br>49.32 | 67,279 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 200 (1) D        | \$<br>49.33 | 67,079 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 400 (1) D        | \$<br>49.35 | 66,679 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 300 (1) D        | \$<br>49.36 | 66,379 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 600 (1) D        | \$<br>49.37 | 65,779 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 200 (1) D        | \$<br>49.38 | 65,579 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 9,000 D          | \$ 49.4     | 56,579 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 1,500 D          | \$<br>49.43 | 55,079 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 2,400 D          | \$<br>49.52 | 52,679 | D (2) |
| Common<br>Stock | 10/19/2004 | S | 900 (1) D        | \$<br>49.57 | 51,779 | D (2) |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.         | 6. Date Exercisable and | 7. Title and     | 8. Price of | 9. Nu |
|-------------|-------------|---------------------|--------------------|-------------|------------|-------------------------|------------------|-------------|-------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber   | Expiration Date         | Amount of        | Derivative  | Deriv |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/Year)        | Underlying       | Security    | Secui |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivativ  | re                      | Securities       | (Instr. 5)  | Bene  |
|             | Derivative  |                     |                    |             | Securities | S                       | (Instr. 3 and 4) |             | Own   |
|             | Security    |                     |                    |             | Acquired   |                         |                  |             | Follo |
|             |             |                     |                    |             | (A) or     |                         |                  |             | Repo  |
|             |             |                     |                    |             |            |                         |                  |             |       |

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Disposed of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Exercisable

Expiration Title Amount Date

or Number

ofShares

## **Reporting Owners**

Relationships Reporting Owner Name / Address 10% Owner Director Officer Other TED ARISON FAMILY FOUNDATION USA INC

C/O ARNALDO PEREZ 3655 NORTH WEST 87TH AVE. MIAMI, FL 33178-2428

See footnote 2

below

## **Signatures**

/s/ John J. O'Neil, Attorney-in-Fact for The Ted Arison Family Foundation USA, Inc.

10/20/2004

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated May 27, 2004.
- The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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