Edgar Filing: BOWNE & CO INC - Form 5

BOWNE & CO INC Form 5 February 18, 2003

OMB APPROVAL

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

### FORM 5

#### ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

- O Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
- O Form 3 Holdings Reported
- O Form 4 Transactions Reported

Name and Address of Reporting Person*	2.	Issuer Name and Ticker or Trading Symbol	3.	I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)			
Stanley, Lisa A.	_	Bowne & Co., Inc. (NYSE: BNE)					
(Last) (First) (Middle)							
Bowne & Co., Inc. 345 Hudson Street	4.	Statement for Month/Year  12/31/2002	5.	If Amendment, Date of Original (Month/Year)			
(Street)		Relationship of Reporting Person(s) to Issuer (Check All Applicable)	7.	Individual or Joint/Group Reporting (Check Applicable Line)			
New York, NY 10014		X Director O 10% Owner	er	X Form filed by One Reporting Person			
(City) (State) (Zip)	•	Officer (give title below)		0			

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O	Other (specify below)	

Form filed by More than One Reporting Person

\* If the form is filed by more than one reporting person, see instruction 4(b)(v).

Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Cod	wkac&murities A e or Disposed r. (Instr. 3, 4 an	of (D)	I (A)	5. Amount of Securities Beneficially Owned at the End of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownershi (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock	1/1/02		A	241 (1)	A	\$12.970		D	
Common Stock	2/8/02		A	23 (1)	A	\$11.910		D	
Common Stock	4/1/02		A	224 (1)	A	\$13.960		D	
Common Stock	5/10/02		A	19 (1)	A	\$15.010		D	
Common Stock	7/1/02		A	216 (1)	A	\$14.50		D	
Common Stock	8/9/02		A	24 (1)	A	\$12.600	215,822 (1)	D	

	Table II Deriva (e.g., pt	tive Securities Acquired its, calls, warrants, optic	, Disposed of, or Benons, convertible secur	eficially Owned ities)		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Dispos (D) (Instr. 3, 4 and 5)	
					(A) (D)	
Options to Purchase Common Stock	\$12.80	12/19/02		A	5,000	
					_	
		Pa	ge 3			

								Acquired, Disposed of, or Beneficially Owned Continued warrants, options, convertible securities)					
6.	Date Exercisable and Expiration Date (Month/Day/Year)			Title and Amount of Underlying Securities (Instr. 3 and 4)		8.	Derivative Security (Instr. 5)	9.	Number of Derivative Securities Beneficially Owned at End of Year (Instr. 4)	10.	Ownership of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)		Nature of Indirect Beneficial Ownership (Instr. 4)
	Date Exercisable	Expiration Date		Title	Amount or Number of Shares								
	(2)	12/18/2012		Common Stock	5,000		(2)		5,000		D		
_													
_													
_													

#### **Explanation of Responses:**

- (1) The number of shares of Common Stock beneficially owned as of the date reported including the number of deferred stock units credited to the Reporting Person under Company plans, as permitted under applicable SEC rules.
- (2) Non-qualified stock options granted under and governed by the Company's 1999 Incentive Compensation Plan, becoming exercisable one year from the date of the grant.

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Lisa A. Stanley	12/15/2002	
**Signature of Reporting Person	Date	

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.

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<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).