#### MAVERICK CAPITAL LTD

Form 4 June 22, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer

subject to Section 16. Form 4 or

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

Form 5

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \*

MAVERICK CAPITAL LTD

2. Issuer Name and Ticker or Trading

Symbol

CASTLIGHT HEALTH, INC.

[CSLT]

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) (Middle)

(Street)

(State)

06/20/2018

3. Date of Earliest Transaction

(Month/Day/Year) 06/20/2018

(Check all applicable)

Director 10% Owner Other (specify Officer (give title below)

300 CRESCENT COURT, 18TH

**FLOOR** 

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

DALLAS, TX 75201

(City)

Class B

common

stock

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

(Zip)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Indirect (I) **Following** (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership (Instr. 4)

Reported Transaction(s)

(Instr. 3 and 4)

Code V Amount Price (D)

32,183 \$0 1,771,350 (1)

(A)

 $I^{(2)}$ 

See footnote (3)

(9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

Persons who respond to the collection of **SEC 1474** information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: MAVERICK CAPITAL LTD - Form 4

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exer Expiration D		7. Title an Amount o		Price of	9. Nu Deriv
Security	or Exercise	( , , , , , , , , , , , , , , , , , , ,	any	Code	of	(Month/Day		Underlyin		urity	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	` •	10)	Securities	~	str. 5)	Bene
(msu. 5)	Derivative		(Wionum Day/ Tear)	(111311.0)			(Instr. 3 at	`	(msu. 3)	Owne	
	Security				Acquired	•		(msu. 5 ai	iiu +)		Follo
	Security				(A) or						
					` /						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								Am	nount		
						_		or			
						Date Exercisable	Expiration Date		mber		
								of			
				Code V	(A) (D)			Sha	ares		

Relationships

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
coporting of marriament reactions	Director	10% Owner	Officer	Other				
MAVERICK CAPITAL LTD 300 CRESCENT COURT 18TH FLOOR DALLAS, TX 75201		X						
MAVERICK CAPITAL MANAGEMENT I 300 CRESCENT COURT 18TH FLOOR DALLAS, TX 75201	LLC	X						
AINSLIE LEE S III 767 FIFTH AVENUE 11TH FLOOR NEW YORK, NY 10153		X						
Warford Andrew Held 300 CRESCENT COURT, 18TH FLOOR DALLAS, TX 75201		X						
Signatures								
/s/ Trevor Wiessmann, Attorney-in-Fact	06/22/2018							
**Signature of Reporting Person	Date							
/s/ Trevor Wiessmann, Attorney-in-Fact	06/22/2018							
**Signature of Reporting Person	Date							
/s/ Trevor Wiessmann, Attorney-in-Fact	06/22/2018							
**Signature of Reporting Person	Date							
	06/22/2018							

Reporting Owners 2

#### Edgar Filing: MAVERICK CAPITAL LTD - Form 4

/s/ Trevor Wiessmann, Attorney-in-Fact

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents an award of restricted stock units ("RSUs") to David B. Singer. The RSUs will vest in four equal quarterly installments during (1) the first year following the date of grant. Each RSU represents a contingent right to receive one share of the Issuer's Class B common stock upon settlement for no consideration.
- Of the shares of Class B Common Stock ("Shares") reported, 32,183 represent RSUs held by Mr. Singer and 77,125 represent Shares (2) which prior to conversion, were held by Mr. Singer as RSUs, in each case, for the benefit of entities managed by Maverick Capital, Ltd. ("Maverick Capital"). Mr. Singer is an employee of an affiliate of Maverick Capital.
  - Maverick Capital is a registered investment adviser under the Investment Advisers Act of 1940, as amended, and acts as the investment manager for each of the portfolio funds for which Mr. Singer holds the RSUs. Maverick Capital Management, LLC ("Maverick
- (3) Management") is the general partner of Maverick Capital. Lee S. Ainslie III is the manager of Maverick Management. Andrew H. Warford serves as the Chairman of the Stock Committee of Maverick Capital. Each reporting owner disclaims beneficial ownership of the reported securities except to the extent of its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3