

Edgar Filing: STATE STREET CORP - Form 4

STATE STREET CORP  
 Form 4  
 July 17, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
 Lopardo, Nicholas A.  
 State Street Corporation  
 225 Franklin Street  
 Boston, MA 02110
2. Issuer Name and Ticker or Trading Symbol  
 State Street Corporation  
 STT
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
 07/01/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
 (X) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Vice Chairman
7. Individual or Joint/Group Filing (Check Applicable Line)  
 (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	5. Amount of Securities Beneficially Owned at End of Month
Common Stock	7/2/01	F	V 2,804	D 48.825 304,196 (1)
				27,090 (1)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount	6. Date Exercisable and Expiration Date Date (Month/Day/Year)	7. Title and Amount of Underlying Securities Title and Number of Shares	8. Percentage of Total Ownership
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Employee Stock Option (right to buy)	15.875					12/31/97-	12/18/06	Common Stock	138,000	(1)
						12/31/99				
Employee Stock Option (right to buy)	28.125					12/18/98-	12/17/07	Common Stock	50,000	(1)
						12/18/00				
Employee Stock Option (right to buy)	33.71875					12/17/99-	12/16/08	Common Stock	99,200	(1)
						12/17/01				
Employee Stock Option (right to buy)	34.6407					12/16/00-	12/15/09	Common Stock	133,200	(1)
						12/16/02				
Employee Stock Option (right to buy)	60.7375					12/21/01-	12/20/10	Common Stock	156,400	(1)
						12/21/03				

Explanation of Responses:

(1) Reflects two-for-one stock split distributed on May 30, 2001.

(2) Shares held in a charitable lead trust of which the reporting person is a co-trustee and members of the reporting person's family have a remainder interest. Reporting person disclaims beneficial ownership of any such shares.

SIGNATURE OF REPORTING PERSON

/s/Nicholas A. Lopardo

DATE

7/16/01