Edgar Filing: MURPHY RICHARD - Form 4

MURPHY I Form 4											
August 18,									OMB AF	PROVAL	
FORM	/ 4 UNITED	STATES					NGE CO	MMISSION	OMB	3235-0287	
Check this box if no longer				shingtor	Number: Expires:	January 31, 2005					
subject Section Form 4	to STATE 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES									
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 17(a) of th 30(b)			Public U								
(Print or Type	Responses)										
CROSS RI	Address of Reporting VER CAPITAL MENT LLC	Person *	Symbol		nd Ticker or '	Tradin	0	Relationship of I ssuer	Reporting Pers	on(s) to	
				co Corp of Earliest 7	[EINS V]			(Check all applicable)			
((Month/Day/Year)					DirectorX10% Owner Officer (give title Other (specify below) below)			
	(Street)			endment, I onth/Day/Ye	Date Original ar)		-	. Individual or Joi applicable Line) Form filed by On X_ Form filed by M	e Reporting Per	son	
	ELD, CT 06877							erson	ore than one re	porting	
(City)	(State)	(Zip)					_	red, Disposed of,		-	
1.Title of Security (Instr. 3)	ity (Month/Day/Year) Execution Date, if			3. 4. Securities Acquired (A) Transactions Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						(A) or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock, \$.005 par value	08/16/2017			Code V P	Amount 74,000	(D) A	Price \$ 0.3819	9,684,117	D <u>(1)</u>		
Common Stock, \$.005 par value	08/16/2017			Р	0	A	\$ 0.3819	9,684,117	Ι	See Footnote (2)	
Common Stock, \$.005 par value	08/17/2017			Р	88,111	A	\$ 0.0377	9,772,228	D (1)		

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Common Stock, \$.005 par value	08/17/2017	Р	0	A	\$ 0.0377	9,772,228	Ι	See Footnote
Common Stock, \$.005 par value	08/18/2017	Р	228,300	A	\$ 0.4	10,000,528	D <u>(1)</u>	
Common Stock, \$.005 par value	08/18/2017	Р	0	A	\$ 0.4	10,000,528	I	See Footnote (2)
Common Stock, \$.005 par value						73,900	D (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/Year) vative urities uired or posed D) r. 3,		7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Warrants	\$ 0.31					06/28/2017	06/28/2022	Common Stock, \$.005 par value	1,612,902

Reporting Owners

Reporting Owner Name / Address

Relationships

Director

Officer Other

1

	-	0% wner	
CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE UNIT D RIDGEFIELD, CT 06877		X	
Cross River Management LLC 31 BAILEY AVENUE UNIT D RIDGEFIELD, CT 06877		X	
Cross River Partners LP C/O CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE, UNIT D RIDGEFIELD, CT 06877		X	
MURPHY RICHARD C/O CROSS RIVER CAPITAL MANAGEMENT LLC 31 BAILEY AVENUE, UNIT D RIDGEFIELD, CT 06877	Х	Х	

Signatures

Cross River Capital Management LLC, By: /s/ Richard Murphy, Managing Member					
**Signature of Reporting Person	Date				
Cross River Management LLC, By: /s/ Richard Murphy, Managing Member					
**Signature of Reporting Person	Date				
Cross River Partners LP, By:/s/ Richard Murphy, Managing Member of Cross River Capital Management,LLC, its General Partner					
**Signature of Reporting Person	Date				
/s/ Richard Murphy	08/18/2017				
**Signature of Reporting Person	Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are directly owned by Cross River Partners LP.

The reported securities are directly owned by Cross River Partners LP (the "Partnership"), a limited partnership whose general partner is Cross River Capital Management LLC (the "General Partner"), and may be deemed indirectly beneficially owned by the General Partner and by Cross River Management LLC, as the investment manager of the Partnership (the "Investment Manager"). The reported securities

- (2) may also be deemed indirectly beneficially owned by Richard Murphy, as Managing Member of both the General Partner and the Investment Manager. The Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
- (3) These securities are directly owned by Richard Murphy, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.