

# Edgar Filing: BORGWARNER INC - Form 5

BORGWARNER INC

Form 5

February 14, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/ / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person  
LAURENE H. HORISZNY  
200 S. Michigan Ave.  
Chicago, IL 60604
2. Issuer Name and Ticker or Trading Symbol  
BorgWarner Inc. (BWA)
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)  
-
4. Statement For Month/Year  
December 31, 2000
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person to Issuer (Check all applicable)  
( ) Director (x) Officer (give title below) ( ) 10% Owner ( ) Other  
Vice President, General Counsel & Secretary
7. Individual or Joint/Group Filing (Check applicable line)  
/x/ Form Filed by One Reporting Person  
--- Form Filed by More Than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security   | 2. Transaction Date<br>(Month/Day/Year)            | 3. Transaction Code<br>Code   V               | 4. Securities Acquired<br>(A) or Disposed of (D)<br>Amount   (A) or (D)   Price |
|--|--|---|---|
| Common Stock   |  |   |   |
| 5. Amount of Securities<br>Beneficially Owned at<br>End of Month | 6. Ownership Form<br>Direct (D) or<br>Indirect (I) | 7. Nature of Indirect<br>Beneficial Ownership |   |
| 8,256  | D  |   |   |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g. Puts, Calls, Warrants, Options, Convertible Securities)

| 1. Title of Derivative Security | 2. Conversion or Exercise<br>Price of<br>Derivative Security | 3. Transaction Date<br>(month \day\year) | 4. Transaction Code | 5. Number of<br>Derivative Securities<br>Acquired (A) or<br>Disposed of (D) |
|---------------------------------|--|--|---------------------|---|
|                                 |  |  |                     |   |

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|                                   |   |                                |                                   | Code                                     | V |        | (A) | (D) |
|-----------------------------------|---|--------------------------------|-----------------------------------|--|---|--------|-----|-----|
| Phantom Stock Units               | 1-for-1                                     | 1/1/00                         | A                                 |  |   | 491.88 |     |     |
| Employee Stock Options            |   |                                |                                   |  |   |        |     |     |
| (Right to Buy)                    |   |                                |                                   |  |   |        |     |     |
| 6.Date Exercisable and Expiration | 7.Title and Amount of Underlying Securities | 8.Price of Derivative Security | 9.Number of Derivative Securities | 10.Ownership Form of Derivative Security |   |        |     |     |
| Date (month/day/year)             | Exercisable Date                            | Title                          | Amt.or                            | Beneficially Owned At End                |   |        |     |     |
|                                   |   | #of shrs                       |                                   | Of Month                                 |   |        |     |     |
| (1)                               | (1)   | Common Stock                   | 491.88                            | 2,918.26                                 |   | D      |     |     |
|                                   |   |                                |                                   | 16,000                                   |   | D      |     |     |

(1) The phantom stock units were accrued under the Borg-Warner Automotive, Inc. Executive Deferred Compensation Plan and are to be settled 100% in cash according to the terms of the plan.

11. Nature of  
Indirect  
Beneficial  
Ownership

Signature of Reporting Person  
/s/ Laurene H. Horiszny  
Date: February 14, 2001