

LAUTMAN MARTIN R
Form 4
March 04, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LAUTMAN MARTIN R

2. Issuer Name and Ticker or Trading Symbol
STONEMOR PARTNERS LP
[STON]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
(Month/Day/Year)
02/28/2019

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O STONEMOR PARTNERS
L.P., 3600 HORIZON
BOULEVARD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

TREVOSE, PA 19053

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Amount | Price | |
| | | | | Code | V | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount |
| Distribution Equivalent Rights | <u>(1)</u> <u>(2)</u> | 02/28/2019 | | A | 252.5337 <u>(3)</u> | <u>(1)</u> <u>(2)</u> | <u>(1)</u> <u>(2)</u> | Common Units | 252.5337 |
| Distribution Equivalent Rights | <u>(1)</u> <u>(2)</u> | 02/28/2019 | | A | 912.254 <u>(5)</u> | <u>(1)</u> <u>(2)</u> | <u>(1)</u> <u>(2)</u> | Common Units | 912.254 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 1,382.7434 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 1,382.7434 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 2,049.1803 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 2,049.1803 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 1,843.6578 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 1,843.6578 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 1,854.5994 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 1,854.5994 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 2,079.8669 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 2,079.8669 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 2,741.2281 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 2,741.2281 |
| Restricted Phantom Units | <u>(7)</u> <u>(8)</u> | 02/28/2019 | | A | 3,063.7255 | <u>(7)</u> <u>(8)</u> | <u>(7)</u> <u>(8)</u> | Common Units | 3,063.7255 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| LAUTMAN MARTIN R C/O STONEMOR PARTNERS L.P. 3600 HORIZON BOULEVARD TREVOSE, PA 19053 | X | | | |

Signatures

/s/ Shirley Herman,
Attorney-in-Fact

03/04/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On February 28, 2019, the distribution equivalent rights were credited to the reporting person's deferred compensation account in the form of phantom units in respect of the cash distribution paid on common units of the issuer on May 15, 2017. This crediting was delayed by the Compensation Committee's exercise of its discretion to defer such crediting because the issuer's Registration Statement on Form S-8 covering the issuance of the distribution equivalent rights was not available due to the issuer's failure to file certain reports required to be filed with the Securities and Exchange Commission.

(Continued from Footnote 1) The distribution equivalent rights accrue on restricted phantom units representing limited partner interests and become payable, in cash or common units, at the election of the issuer, upon the separation of the reporting person from service as a director or upon the occurrence of certain other events specified in Section 409A of the Internal Revenue Code of 1986, as amended. Each distribution equivalent right is the economic equivalent of one common unit representing a limited partner interest.
 - (2) These distribution equivalent rights accrue under the StoneMor Partners L.P. 2014 Long-Term Incentive Plan.
 - (3) Represents restricted phantom units allocated to the reporting person's deferred compensation account, including distribution equivalent rights credited to such person's deferred compensation account in the form of phantom units and accrued on all phantom units allocated or credited to such account under StoneMor Partners L.P. 2014 Long-Term Incentive Plan.
 - (4) These distribution equivalent rights accrue under the StoneMor Partners L.P. Long-Term Incentive Plan, as amended.
 - (5) Represents restricted phantom units allocated to the reporting person's deferred compensation account, including distribution equivalent rights credited to such person's deferred compensation account in the form of phantom units and accrued on all phantom units allocated or credited to such account under the StoneMor Partners L.P. Long-Term Incentive Plan, as amended.
 - (6) On February 28, 2019, the restricted phantom units were credited to the reporting person's deferred compensation account in lieu of payment to the reporting person of a portion of his annual director's retainer fee. This crediting was delayed by the Compensation Committee's exercise of its discretion to defer such crediting because the issuer's Registration Statement on Form S-8 covering the issuance of the distribution equivalent rights was not available due to the issuer's failure to file certain reports required to be filed with the Securities and Exchange Commission.

(Continued from Footnote 7) Each restricted phantom unit representing limited partner interests is the economic equivalent of one common unit representing limited partner interests. Restricted phantom units become payable, in cash or common units, at the election of the issuer, upon the separation of the reporting person from service as a director or upon the occurrence of certain other events specified in Section 409A of the Internal Revenue Code of 1986, as amended.
 - (7) The reporting person received these restricted phantom units pursuant to the StoneMor Partners L.P. 2014 Long-Term Incentive Plan in lieu of payment to the reporting person of \$12,500 which represents a portion of his annual director's retainer fee.
 - (8) Reflects the closing price of the issuer's common units as reported by the New York Stock Exchange on the trading day immediately preceding the date on which the restricted phantom units would have been credited to the reporting person's deferred compensation account had the Compensation Committee not elected to exercise its discretion to defer such crediting.
 - (9) Represents restricted phantom units allocated to the reporting person's deferred compensation account, including distribution equivalent rights that accrued under StoneMor Partners L.P. 2014 Long-Term Incentive Plan and under StoneMor Partners L.P. Long-Term Incentive Plan, as amended, credited to such person's deferred compensation account in the form of phantom units and accrued on all phantom units allocated or credited to such account.
 - (10) Represents restricted phantom units allocated to the reporting person's deferred compensation account, including distribution equivalent rights that accrued under StoneMor Partners L.P. 2014 Long-Term Incentive Plan and under StoneMor Partners L.P. Long-Term Incentive Plan, as amended, credited to such person's deferred compensation account in the form of phantom units and accrued on all phantom units allocated or credited to such account.
 - (11)

Remarks:

The filing of this statement shall not be construed as an admission (a) that the person filing this statement is, for the purposes of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.