VINCE HOLDING CORP.

Form 4 April 25, 2016

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Griffith Jerome

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

VINCE HOLDING CORP. [VNCE] 3. Date of Earliest Transaction

(Check all applicable)

C/O VINCE HOLDING CORP., 500

(Middle)

(Zip)

04/21/2016

(Month/Day/Year)

X Director

10% Owner Other (specify

Indirect

Beneficial

Ownership

(Instr. 4)

Officer (give title below)

FIFTH AVENUE, 20TH FLOOR

(Street)

(State)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

NEW YORK, NY 10110

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Security (Month/Day/Year) Execution Date, if Securities Form: Direct (Instr. 3) Code Disposed of (D) Beneficially (D) or (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4)

Reported Transaction(s)

(Instr. 3 and 4)

or (D) Price Amount

(A)

Common Stock, par

value \$0.01

04/21/2016

 $\mathbf{X}^{(1)}$ 1.030 4,267

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: VINCE HOLDING CORP. - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Secur Acqu (A) or Dispo	rities nired or osed of	6. Date Exercise Expiration Date (Month/Day/Y	e	7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	and 5	:. 3, 4, (b)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shares
Subscription Rights (Right to buy)	\$ 5.5	04/21/2016		X <u>(1)</u>		3,237	03/29/2016	04/21/2016	Common Stock	1,030

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Griffith Jerome C/O VINCE HOLDING CORP. 500 FIFTH AVENUE, 20TH FLOOR NEW YORK, NY 10110	X						

## **Signatures**

/s/ Akiko Okuma, by power of attorney 04/25/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents the exercise of subscription rights in the Vince Holding Corp. ("Vince") rights offering by the Reporting Person, with each (1) right entitling the holder to purchase 0.3183 shares of Vince common stock, resulting in the purchase by the Reporting Person of 1,030 shares of Vince common stock. The Reporting Person did not exercise any oversubscription rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2