#### HOME BANCSHARES INC

Form 4

August 25, 2015

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287

January 31, 2005

Estimated average burden hours per response... 0.5

**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading French Tracy Issuer Symbol HOME BANCSHARES INC (Check all applicable) [HOMB] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify (Month/Day/Year) below) P.O. BOX 966 08/24/2015 Centennial Bank CEO/ President (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting CONWAY, AR 72033

,						Р	erson		
(City)	(State) (Zip	Table I -	· Non-Deri	vative Secu	ırities .	Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)	
Common Stock - Restricted	08/24/2015		A	12,500 (1)	A	\$0	19,500 (2) (3) (4) (5)	D	
Common Stock - Performance Based	08/24/2015		A	12,500 (6)	A	\$0	16,500 (7)	D	
Common Stock							43,084.85 (5)	D	
Common Stock							13,961.358	I	By IRA

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 $\begin{array}{c} \text{Common} \\ \text{Stock} \end{array} \qquad \begin{array}{c} 20,098.408 \\ \underline{\text{(8)}} \end{array} \qquad \text{I} \qquad \quad \text{By } 401(\text{k}) \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Performance Stock Option	\$ 36.91	08/24/2015		A	75,000	<u>(9)</u>	08/23/2025	Common Stock	75,0
Performance Stock Option	\$ 5.54					01/01/2010	03/13/2016	Common Stock	40,0
Stock Option	\$ 17.25					(10)	01/17/2023	Common Stock	20,0
Stock Option	\$ 19.08					<u>(11)</u>	04/17/2023	Common Stock	20,0
Stock Option	\$ 29.42					(12)	01/15/2025	Common Stock	50,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1 6	Director	10% Owner	Officer	Other		
French Tracy P.O. BOX 966 CONWAY, AR 72033	X		Centennial Bank CEO/ President			
<b>^</b> '						

Date

### **Signatures**

\*\*Signature of Reporting Person

/s/ Tracy French by Rachel
Wesson
08/25/2015

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third anniversary of the award date.
- (2) Restricted Stock granted on August 2, 2012 "cliff" vested 100% three years from award date.
- (3) Restricted Stock granted on January 18, 2013 will "cliff" vest 100% three years from award date.
- (4) Restricted Stock granted on January 17, 2014 will "cliff" vest 100% three years from award date.
- (5) The reporting person had 4,000 shares vest since the last filing.
- The Performance Stock awarded on August 24, 2015 will vest in 33 1/3% installments over five years beginning on the third annual anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (7) The Performance Stock awarded on August 2, 2012 will "cliff" vest on the third annual anniversary of the date that the performance goal is met. The performance goal was met on September 30, 2013 and will therefore "cliff" vest 100% on September 30, 2016.
- (8) Includes 78.3020 shares acquired through the Home BancShares, Inc. 401(k) Plan since the last filing.
- Once the performance goal has been met, the Performance Stock Option awarded on August 24, 2015 will become exercisable in seven equal annual installments beginning on the first annual anniversary of the award date. The performance goal will be met as of the end of the calendar quarter when the Company has averaged \$0.625 diluted earnings per share for four consecutive quarters or \$2.50 total diluted earnings per share over a period of four consecutive quarters.
- (10) The option is exercisable in five equal annual installments. The first installment becomes exercisable on January 16, 2016.
- (11) The option is exercisable in five equal annual installments. The first installment became exercisable on January 18, 2014.
- (12) The option is exercisable in five equal annual installments. The first installment became exercisable on April 18, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.