Edgar Filing: HOPKINS THOMAS E - Form 4

HOPKINS THOMAS E

Form 4 April 30, 2003

FORM 4

obligations may continue.

See Instruction 1(b).

Check this box if no longer subject to Section 16.

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response...0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

1. Name and Add Hopkins, Thom			ame and Ti in-William		Pe	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) 101 Prospect Avenue, N.W.				ortin	entification g Person, (voluntary)			ntement for h/Day/Year 03	10 <u>X</u> Ot	Director		
Cleveland, OH					Date	Date of Original ((Month/Day/Year)		. Individual or Joint/Group Filing Check Applicable Line) Gerson Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	7	Гabl	e I Non-l	Deriva	ative Secu	rities Acquired, Dis	posed	d of, or Benef	icially Owned	
Security	ecurity action Execution				4. Securities or Dispose (Instr. 3, 4	d of (I		5. Amount of Securities Beneficially Owned Follow- ing Reported Transactions(s)		1	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						(D)		(Instr. 3 & 4)				
Common Stock	04/29/03		M		246	A	16.3438					
Common Stock	04/29/03		M		5,000	A	20.7188					
Common Stock	04/29/03		M		860	A	25.0625					
Common Stock	04/29/03		F (1)		4,623	D	27.93	31,	661 <u>(2)</u>	D		
Common Stock								23,915.4	554 <u>(3)</u>	I	Stock Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: HOPKINS THOMAS E - Form 4

FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

			0/1 /					<i>,</i> 1						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date (Month/ Day/ Year)	Deemed Execution Date, if any	4. Transaction Code (Instr 8)	on of Derivativ Securities		nber ivative urities quired or posed	6. Date Exercisable and Expiration Date (Month/Day/ Year)		7. Title and Amount of Underlying Securities (Instr. 3 & 4)		9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Owner- ship Form of Deriv- ative Security: Direct (D) or	11. Nature of Indirec Beneficia Ownershi (Instr. 4)
				Code	v	4 &	(D)	Date Exer-cisable	Expira- tion Date	Title	Amount or Number of Shares		Indirect (I) (Instr. 4)	
Employee Stock Option (Right to Buy)	16.3438	04/29/03		M			246	01/23/98	01/23/05	Common Stock		0	D	
Employee Stock Option (Right to Buy)	20.7188	04/29/03		M			1,666	01/24/97	01/24/06	Common Stock	1,666	0	D	
Employee Stock Option (Right to Buy)	20.7188	04/29/03		M			1,667	01/24/98	01/24/06	Common Stock	1,667	0	D	
Employee Stock Option (Right to Buy)	20.7188	04/29/03		M			1,667	01/24/99	01/24/06	Common Stock	1,667	0	D	
Employee Stock Option (Right to Buy)	25.0625	04/29/03		M			860	02/03/02	02/02/09	Common Stock	860	3,130	D	

Explanation of Responses:

By: /s/ Thomas E. Hopkins 04/30/03
Date

⁽¹⁾ Shares were used to pay the option price in an exempt transaction.

⁽²⁾ Of shares listed, 20,000 are restricted.

⁽³⁾ Represents the approximate number of shares of common stock for which the Reporting Person has the right to direct the vote under The Sherwin-Williams Company Employee Stock Purchase and Savings Plan per the trustee's 3/31/03 statement. Shares of common stock are not directly allocated to Plan participants, but are instead held in a unitized fund consisting primarily of common stock and a small percentage of short-term investments. Participants acquire units of this fund.

^{**}Signature of Reporting Person

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Edgar Filing: HOPKINS THOMAS E - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.