#### DICKINSON ROBERT H

Form 4

October 08, 2004

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average burden hours per

\_ Other (specify

**OMB APPROVAL** 

3235-0287

0.5

**OMB** 

Number:

response...

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

\_X\_\_ Director X\_ Officer (give title \_

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

CARNIVAL CORP [CCL]

3. Date of Earliest Transaction

(Month/Day/Year)

10/07/2004

Symbol

(Last)

C/O CARNIVAL

(Print or Type Responses)

DICKINSON ROBERT H

1. Name and Address of Reporting Person \*

(First)

(Middle)

| CORPORA<br>AVE                       | ATION, 3655 NW  | below) below) President & CEO - CCL                         |                 |                                |                              |   |   |  |   |  |  |
|--------------------------------------|---|---|-----------------|--------------------------------|------------------------------|---|---|--|---|--|--|
|                                      | (Street) 4. If Amendment, Date Original Filed(Month/Day/Year)   |   |                 |                                |                              | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |   |  |   |  |  |
| MIAMI, F                             | L 33178   |   |                 |                                |                              | Form filed by More than One Reporting Person  |   |  |   |  |  |
| (City)                               | (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                 |                                |                              |   |   |  |   |  |  |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)   | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | 4. Securion(A) or D (Instr. 3, | ispose<br>4 and<br>(A)<br>or | d of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock                      | 10/07/2004  |   | S               | 1,700                          | D                            | \$<br>49.03   | 345,851.3869<br>(1)   | I  | By<br>Dickinson<br>Enterprises<br>Limited<br>Partnership          |  |  |
| Common<br>Stock                      | 10/07/2004  |   | S               | 2,900                          | D                            | \$<br>49.05   | 342,951.3869<br>(1)   | I  | By<br>Dickinson<br>Enterprises<br>Limited<br>Partnership          |  |  |
|                                      | 10/07/2004  |   | S               | 9,900                          | D                            |   |   | I  |   |  |  |

#### Edgar Filing: DICKINSON ROBERT H - Form 4

| Common<br>Stock   |            |   |     |   | \$<br>49.06 | 333,051.3869<br>(1)                     |   | By<br>Dickinson<br>Enterprises<br>Limited<br>Partnership |  |
|---|------------|---|-----|---|-------------|---|---|--|--|
| Common<br>Stock   | 10/07/2004 | S | 500 | D | \$<br>49.07 | 332,551.3869<br>(1)                     | I | By<br>Dickinson<br>Enterprises<br>Limited<br>Partnership |  |
| Common<br>Stock   |            |   |     |   |             | 2,200.3704 (1)                          | D |  |  |
| Common<br>Stock   |            |   |     |   |             | 25,000                                  | I | By<br>Dickinson<br>Foundation                            |  |
| Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. |            |   |     |   |             |   |   |  |  |
|   |            |   |     |   |             | pond to the colle<br>nined in this form |   | SEC 1474<br>(9-02)                                       |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | Securities<br>Acquired                                  | 3                   | ate                | Amou<br>Under<br>Secur | le and<br>unt of<br>rlying<br>rities<br>. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|------------------------|--|---|--|
|   |   |   |   |                                       | (A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     |                    |                        |  |   | Repo<br>Trans<br>(Instr                          |
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title                  | Amount<br>or<br>Number<br>of<br>Shares             |   |  |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |                       |       |  |  |  |  |  |
|--------------------------------|---------------|-----------|-----------------------|-------|--|--|--|--|--|
|                                | Director      | 10% Owner | Officer               | Other |  |  |  |  |  |
| DICKINSON ROBERT H             | X             |           | President & CEO - CCL |       |  |  |  |  |  |
| C/O CARNIVAL CORPORATION       |               |           |                       |       |  |  |  |  |  |
| 3655 NW 87TH AVE               |               |           |                       |       |  |  |  |  |  |

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**MIAMI, FL 33178** 

### **Signatures**

Robert H. Dickinson 10/07/2004

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired pursuant to purchase under, or the dividend reinvestment feature of, the Carnival Corporation Employee Stock Purchase Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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