#### HALLADOR ENERGY CO

Form 4 June 03, 2016

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

0.5

7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Estimated average burden hours per response...

if no longer subject to Section 16. Form 4 or

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Martin Lawrence D

(Last)

(First)

(Middle)

2. Transaction Date 2A. Deemed

19854 N. STATE HIGHWAY 1

(Month/Day/Year)

(Street)

HALLADOR ENERGY CO

2. Issuer Name and Ticker or Trading

[HNRG]

Symbol

3. Date of Earliest Transaction

(Month/Day/Year) 05/13/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below) below)

**CFO** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

5. Amount of

Beneficially

Securities

Owned Following

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Ownership

Direct (D)

or Indirect

(Instr. 4)

D

Form:

Person

MARSHALL, IL 62441

1.Title of

Security

(Instr. 3)

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code

(Instr. 3, 4 and 5) (Instr. 8)

Reported (A) Transaction(s) or Price

\$

(Instr. 3 and 4)

**COMMON** 05/13/2016 **STOCK** 

V Amount (D) 38.061

113,192.061 4.68

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Execution Date, if

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: HALLADOR ENERGY CO - Form 4

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exerc		7. Titl		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative		•		Securities	3		(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						(2.11541
					4, and 5)						
					i, and 3)						
									Amount		
						Data	Evaluation		or		
							Expiration Date	Title N	Number		
									of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b>	Director	10% Owner	Officer	Other			
Martin Lawrence D							
19854 N. STATE HIGHWAY 1			CFO				
MARSHALL, IL 62441							

# **Signatures**

Lawrence D.
Martin

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are held in reporting person's IRA account. Such shares were purchased through the dividend reinvestment plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2