

Edgar Filing: BRACKEN FRANK A - Form 4

BRACKEN FRANK A  
Form 4  
October 09, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

|                       |         |          |
|-----------------------|---------|----------|
| Bracken               | Frank   | A        |
| -----                 | -----   | -----    |
| (Last)                | (First) | (Middle) |
| 5150 Plantation Drive |         |          |
| -----                 |         |          |
| (Street)              |         |          |
| Indianapolis          | IN      | 46205    |
| -----                 | -----   | -----    |
| (City)                | (State) | (Zip)    |

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2. Issuer Name and Ticker or Trading Symbol

First Merchants Corporation - FRME

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3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

304-44-5290

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4. Statement for Month/Year

September/2001

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5. If Amendment, Date of Original (Month/Year)

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6. Relationship of Reporting Person to Issuer  
(Check all applicable)

|   |  |
|---|--|
| <input checked="" type="checkbox"/> Director        | <input type="checkbox"/> 10% Owner             |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

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 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |       |
|---------------------------------------|---|---------------------------------|---|--|-------|
|                                       |   | Code                            | V | Amount   | Price |
|                                       |   |                                 |   | (A)<br>or<br>(D)   |       |
| Common Stock                          |   |                                 |   |  | (1)   |
| Common Stock                          |   |                                 |   |  |       |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

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 Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 (e.g., puts, calls, warrants, options, convertible securities)  
 =====

| 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise | 3. | 4.<br>Trans- | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A) | 6.<br>Date<br>Exercisable and | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |
|--|----|--------------|---|-------------------------------|---|
|--|----|--------------|---|-------------------------------|---|

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| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Exer-<br>cisable Date | -----<br>Title | Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|---|---|----------------|--|
|--|---|--|--|---|---|----------------|--|

Non-Employee  
Director Stock  
Option (Right to  
Buy)

Explanation of Responses: (1) Includes 6.7981 shares acquired in September 2001, under the First Merchants Corporation dividend reinvestment plan. On August 14, 2001, the Board of Directors of First Merchants Corporation declared a stock dividend payable to all holders of record of common stock on September 3, 2001 of one share of common stock for each 20 shares of common stock outstanding to be distributed on September 24, 2001. Fractional shares were distributed in cash. Pursuant to anti-dilution provisions of First Merchants Corporation's various stock option plans, options previously reported were also adjusted to reflect the stock dividend.

/s/ Larry R. Helms

October 9, 2001

\*\*Signature of Reporting Person  
Frank A. Bracken  
(Confirming Statement on File)

Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.