

Edgar Filing: BRACKEN FRANK A - Form 4

BRACKEN FRANK A
Form 4
August 01, 2001

U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

1. Name and Address of Reporting Person*

| | | |
|---------|---------|----------|
| Bracken | Frank | A. |
| (Last) | (First) | (Middle) |

5150 Plantation Drive

(Street)

| | | |
|--------------|---------|-------|
| Indianapolis | IN | 46205 |
| (City) | (State) | (Zip) |

First Merchants Corporation - FRME

2. Issuer Name and Ticker or Trading Symbol

304-44-5290

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

July/2001

4. Statement for Month/Year

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|---|--|
| <input checked="" type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

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7. Individual or Joint/Group Filing (Check applicable line)

- Form filed by one Reporting Person
- Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (mm/dd/yy) | 3. Transaction (Instr. 8) | | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | |
|---------------------------------------|---|---------------------------------|---|--|------------------|-------|
| | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | | | | | | (1) |
| Common Stock | | | | | | |

* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 2. Conver- sion or Exer- cise | 3. | 4. Trans- | 5. Number of Derivative Securities Acquired (A) | 6. Date Exercisable and | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|----|--------------|---|-------------------------------|---|
|--|----|--------------|---|-------------------------------|---|

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| 1. Title of Derivative Security (Instr. 3) | Price of Deriv- ative Secur- ity | Trans- action Date (Month/ Day/ Year) | action Code (Instr. 8) ----- Code V | or of (D) (Instr. 3, 4 and 5) ----- (A) (D) | Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D) | Expiration Date (Month/Day/Year) ----- Date Expira- tion Date | ----- Title | Amount or Number of Shares |
|--|---|--|--|--|--|--|----------------|--|
|--|---|--|--|--|--|--|----------------|--|

| | | | | | | | | |
|---|-------|----------|---|---|------|-------------------|--------|------|
| Non-Employee Director Stock Option(Right to Buy) | 22.84 | 07/01/01 | A | V | 1000 | 01/01/02 06/30/11 | Common | 1000 |
|---|-------|----------|---|---|------|-------------------|--------|------|

Explanation of Responses: (1) Includes 6.6864 shares acquired in December 2000; 6.8655 in March; and 6.8397 in June 2001, under the First Merchants Corporation dividend reinvestment plan.

/s/ Larry R. Helms

August 1, 2001

 **Signature of Reporting Person
 Frank A. Bracken
 (Confirming Statement on File)

 Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, see Instruction 6 for procedure.