#### Edgar Filing: MCMULLEN CHRISTINA M - Form 4

#### MCMULLEN CHRISTINA M

Form 4

November 15, 2018

| FORM  | ORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION |                          |   |           |   |  | OMB APPROVAL   |  |                     |  |  |
|---|--|--------------------------|---|-----------|---|--|--|--|---------------------|--|--|
|   | Washington, D.C. 20549                                 |                          |   |           |   |  | MMISSION   | OMB<br>Number:   | 3235-0287           |  |  |
| Check to  | ger STATEMENT OF CHANCES IN RENEFICIAL OWNER           |                          |   |           |   |  | ERSHIP OF  | Expires:   | January 31,<br>2005 |  |  |
| subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  SIATEMENT OF CHARGES IN BERTETICIAL OWAR SECURITIES  SECURITIES  SECURITIES  SECURITIES  Section 16(a) of the Securities Exchange A Section 17(a) of the Public Utility Holding Company Act of 1 30(h) of the Investment Company Act of 1940 |  |                          |   |           |   | burden hours per response e Act of 1934, 1935 or Section   |  |  |                     |  |  |
| (Print or Type  | Responses)   |                          |   |           |   |  |  |  |                     |  |  |
| MCMULLEN CHRISTINA M Symb   |  |                          | ymbol   |           |   |  |  | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable) |                     |  |  |
| (M  |  |                          |   |           |   |  | Director 10% Owner Officer (give title Other (specify below) Vice President & Controller |  |                     |  |  |
|   | If Amendment, I  | Month/Day/Year) A        |   |           | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |  |  |                     |  |  |
| HUNT VA   | LLEY, MD 2103  | 1                        |   |           |   | Pe   | Form filed by Mo<br>erson  | ore than One Rep   | orting              |  |  |
| (City)  | (State)  | (Zip)                    | Table I - Non-  | Derivativ | e Secu  | ırities Acquii   | red, Disposed of,  | or Beneficiall   | y Owned             |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Date<br>(Month/Day/Year)                | Code<br>Year) (Instr. 8) | Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) |           |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)        |                     |  |  |
| Common<br>Stock -<br>Voting   | 11/13/2018   |                          | S   | 400       | D   | \$<br>147.4399   | 624  | D  |                     |  |  |
| Common<br>Stock -<br>Non<br>Voting  |  |                          |   |           |   |  | 39   | D  |                     |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form SEC 1474 (9-02)

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# displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | Code   | 5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | Expiration Date<br>(Month/Day/Year) |                    | Underlying Securities (Instr. 3 and 4) |                                     | 8. Pr<br>Deri<br>Secu<br>(Inst |
|---|---|---|--------|--|-------------------------------------|--------------------|--|-------------------------------------|--------------------------------|
|   |   |   | Code V | (A) (D)  | Date<br>Exercisable                 | Expiration<br>Date | Title                                  | Amount<br>or<br>Number<br>of Shares |                                |
| Phantom<br>Stock                                    | <u>(1)</u>  | 11/13/2018                              | A      | 3.8072   | <u>(1)</u>                          | <u>(1)</u>         | Common<br>Stock -<br>Voting            | 3.8072                              | \$ 1                           |

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                                   |       |  |  |
|---|---------------|-----------|-----------------------------------|-------|--|--|
|   | Director      | 10% Owner | Officer                           | Other |  |  |
| MCMULLEN CHRISTINA M MCCORMICK & COMPANY, INCORPORATED 24 SCHILLING ROAD, SUITE 1 HUNT VALLEY, MD 21031 |               |           | Vice<br>President &<br>Controller |       |  |  |

## **Signatures**

Jason E. Wynn, Attorney-in-Fact

\*\*Signature of Reporting Person Da

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of phantom stock represents the right to receive one share of Common Stock Voting. Shares of phantom stock are payable in shares of Common Stock Voting in accordance with the terms of the Non-Qualified Retirement Savings Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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