Edgar Filing: ALCOA INC - Form 4

ALCOA INC Form 4											
July 02, 2014											
FORM 4 _U	NITED	STATES	SECU	RITIES A	AND EX	CHANGE	E COMMISSIO		PPROVAL		
Check this box								Number:	3235-0287		
if no longer	F CHAN	NGES IN SECUI	BENEF RITIES	Expires: Estimated burden hou response	urs per						
obligations may continue. See See Instruction 1(b).	ction 17(a	a) of the I	Public U	Jtility Hol	ding Co		nge Act of 1934, of 1935 or Secti 940				
(Print or Type Responses)										
1. Name and Address of Reporting Person <u>*</u> ZEDILLO ERNESTO			2. Issuer Name and Ticker or Trading Symbol ALCOA INC [AA]				5. Relationship of Reporting Person(s) to Issuer				
(Last) (First				of Earliest T	-		(Check all applicable)				
390 PARK AVENUE			(Month/Day/Year) 07/01/2014				X_ Director 10% Owner Officer (give title Other (specify below) below)				
(Stree NEW YORK,, NY		4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 						
(City) (State	e)	(Zip)	Tab	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)2. Transaction (Month/E)		2A. Deemo Execution any (Month/Da	ed Date, if	3. Transactio Code (Instr. 8) Code V	4. Securi nAcquirec Disposed (Instr. 3,	ties (A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect		
Pamindar: Papart on a s	anarata lina	for each al	nes of see				or indirectly				
Reminder: Report on a se	-parate line	tor each cla	ass of sec	unues dene	Perso infor requi	ons who res nation con red to resp ays a curre	or indirectly. spond to the colle tained in this forn ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

	Derivative Security				(A) or Dispose (D) (Instr. 3: and 5)						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	07/01/2014	А		2,164		(2)	(2)	Common Stock	2,164	\$ 14

Reporting Owners

Reporting Owner Name / Address	Relationships							
1 8	Director	10% Owner	Officer	Other				
ZEDILLO ERNESTO 390 PARK AVENUE NEW YORK,, NY 10022	Х							
Signatures								

Brenda Hart (Assistant Secretary), by power of attorney 07/02/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 For 1
- (2) Phantom stock units were acquired under the Alcoa 2005 Deferred Fee Plan for Directors and are to be paid out in cash after Board service ends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.