Edgar Filing: ALCOA INC - Form 4

ALCOA DIC

Form 4												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB APPROVAL			
Washington, D.C. 20549								OMB Number:	3235-0287			
Check this if no longe subject to Section 16 Form 4 or	er STATEN 5.	x STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								n				
(Print or Type R	esponses)											
GUERON JUDITH M Symbo ALCO			Symbol	Name and		rading	5. Relationship of Reporting Person(s) to Issuer					
				INC [AA	-		(Check all applicable)					
				Earliest Tra ay/Year))13	insaction		XDirector10% Owner Officer (give titleOther (specify below)below)					
				ndment, Dat th/Day/Year)	e Original		 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 					
NEW YORK, NY 10022							Form filed by M Person	by More than One Reporting				
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecurities A	cquired, Disposed of	f, or Beneficial	lly Owned			
1.Title of Security (Instr. 3)	ecurity (Month/Day/Year) Execution Date, if		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock				Code V	Amount	or (D) Pric	Transaction(s) (Instr. 3 and 4) 15,673.095 (1)	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	3)	onof Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price o Derivativ Security (Instr. 5)
				Code Y	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	(2)	07/01/2013		А		410		(3)	(3)	Common Stock	410	\$ 7.84

Reporting Owners

Reporting Owner Name / Address	Relationships									
i o	Director	10% Owner	Officer	Other						
GUERON JUDITH M 390 PARK AVENUE NEW YORK, NY 10022	Х									
Signatures										
Brenda Hart (Assistant Secreta attorney	07/02/2013									

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) Includes shares acquired under the Alcoa Dividend Reinvestment Plan
- (2) 1 For 1
- (3) Phantom stock units were acquired under the Alcoa 2005 Deferred Fee Plan for Directors and are to be paid out in cash after Board service ends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.