Edgar Filing: GUERON JUDITH M - Form 4

GUERON JU	DITH M										
Form 4											
July 05, 2011											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287		
Check this								Expires:	January 31,		
if no longe subject to	STATEM	ENT OF CHAN	GES IN I	BENEFI	CIA	NERSHIP OF	Estimated a	2005			
Section 16	5.		SECUR	ITIES				burden hours per			
Form 4 or								response	response 0.5		
Form 5 obligation	·	suant to Section 1					•				
may conti		a) of the Public U	•	•	• •			n			
See Instru 1(b).		30(h) of the In	vestment	Company	y Act	t of 19	40				
(Print or Type R	esponses)										
						Reporting Person(s) to					
GUERON JI	JDITH M	Symbol					Issuer				
	ALCOA	A INC [AA	\]			(Check all applicable)					
(Last)	liddle) 3. Date of	f Earliest Tra	ansaction			(Check an applicable)					
	(Month/D	ay/Year)				_X_ Director		Owner			
201 ISABEL	07/01/2	011				Officer (give title Other (specify below)					
	4. If Ame	ndment, Dat	te Original			6. Individual or Joint/Group Filing(Check					
	Filed(Mor	nth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person					
PITTSBURG	GH, PA 15212						_X_Form filed by C Form filed by N Person				
(City)	(State) (Zip) Tabl	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	ly Owned		
1.Title of	2. Transaction Date	2A. Deemed	3.	3. 4. Securities		5. Amount of	6. Ownership	Indirect			
Security	(Month/Day/Year)	Execution Date, if	TransactionAcquired (A) or						Form: Direct		
(Instr. 3)		any (Month/Day/Year)	Code (Instr. 8)	Disposed (Instr. 3, 4			Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership		
		(Wondi/Day/Tear)	(11150.0)	(111501. 5, •	+ anu	3)	Following	(Instr. 4)	(Instr. 4)		
					(Λ)		Reported				
					(A) or		Transaction(s)				
			Code V	Amount		Price	(Instr. 3 and 4)				
Common							15,269.3987	D			
Stock							(1)	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	e 3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	tionof De) Se Ac (A Di of (In	onof		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price o Derivativ Security (Instr. 5)
				Code V	(4	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(2)</u>	07/01/2011		А	3	368		(3)	(3)	Common Stock	368	\$ 16.12

Reporting Owners

Reporting Owner Name / Address	Relationships								
r o	Director	10% Owner	Officer	Other					
GUERON JUDITH M 201 ISABELLA STREET PITTSBURGH, PA 15212	Х								
Signatures									
Brenda Hart (Assistant Secreta attorney		07/05/2011							
<u>**</u> Signature of Reporting			Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired under the Alcoa Dividend Reinvestment Plan
- (2) 1 For 1
- (3) Phantom stock units were acquired under the Alcoa 2005 Deferred Fee Plan for Directors and are to be paid out in cash after Board service ends.

This total includes phantom stock units held under the Alcoa 2005 Deferred Fee Plan for Directors that have been previously reported on
(4) the reporting person's Form 4 reports but were inadvertently omitted by the plan administrator (in the amount of 4,089 units at a price of \$17.60/share) from the total as shown in column 9 of the reporting person's Form 4 report filed on April 4, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.