Edgar Filing: ZEDILLO ERNESTO - Form 4

ZEDILLO E	RNESTO										
Form 4											
April 02, 200)9										
FORM	1 4								PPROVAL	_	
	UNITED	STATES S		RITIES A shington			E COMMISSIO	N OMB Number:	3235-0)287	
Check thi		U				Expires:	January				
if no longer subject to STATEMENT OF CHANGES IN BENEFICIAL OW							WNERSHIP OF	·	Estimated average		
Section 16. SECURITIES									burden hours per		
Form 4 or	r							response		0.5	
Form 5	Filed pu	rsuant to Se	ection 1	6(a) of the	ne Secu	urities Excha	nge Act of 1934,				
obligation may cont				•	•	· ·	t of 1935 or Secti	on			
See Instru		30(h) o	f the Ir	ivestmen	t Comp	pany Act of 1	1940				
1(b).											
(Drint or Type F	Desmonson)										
(Print or Type F	(esponses)										
1. Name and A	ddress of Reporting	Person *	2 Januar Nama and Tiskar or Trading				5. Relationship of Reporting Person(s) to				
ZEDILLO E			2. Issuer Name and Ticker or Trading Symbol			or mading	Issuer				
			ALCOA INC [AA]								
							(Check all applicable)				
(Last) (First) (Middle)			3. Date of Earliest Transaction				X Director	100	% Owner		
201 ISABELLA STREET			(Month/Day/Year) 04/01/2009			Officer (give titleOther (specify					
201 ISADELLA STREET			04/01/2009				below) below)				
(Street)			4. If Amendment, Date Original			inal	6. Individual or Joint/Group Filing(Check				
		F	Filed(Month/Day/Year)				Applicable Line)				
							X Form filed by	One Reporting P More than One R			
PITTSBUR	GH, PA 15212						Person	wore than one w	eporting		
(City)	(State)	(Zip)	Tab	le I - Non-	Derivati	ive Securities A	Acquired, Disposed	of, or Beneficia	ally Owned		
1.Title of	2. Transaction Date	2A. Deemed	1	3.	4. Secu		5. Amount of	6. Ownership	7. Nature	of	
-	(Month/Day/Year)	Execution D	ate, if				Securities	Form: Direct	Indirect		
(Instr. 3)		any (Month/Day	(Year)	Code (Instr. 8)		ed of (D) 3, 4 and 5)	Beneficially Owned	(D) or Indirect (I)	Ownership		
		(Wonth Duy	/ 1041)	(11341.0)	(111501.)	s, rund sy	Following	(Instr. 4)	(Instr. 4)		
						(A)	Reported				
						or	Transaction(s)				
				Code V	Amour	nt (D) Price	(Instr. 3 and 4)				
Reminder: Ren	ort on a separate lin	e for each clas	s of seci	urities bene	ficially <i>c</i>	owned directly	or indirectly				
Kenninder. Kep	ore on a separate mil		s of sect	indes belle	•	•	spond to the colle	ction of	SEC 1474		
							tained in this form		(9-02)		
							ond unless the fo				
							ntly valid OMB co	ntrol			
					nur	nber.					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amount of	8. Pric
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securities	Deriva
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	Securi
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired			(Instr.

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	Derivative Security			(D)	Disposed of D) Instr. 3, 4,						
			Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Units	<u>(1)</u>	04/01/2009	А		3,388		(2)	(2)	Common Stock	3,388	\$ 7

Reporting Owners

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Other	
ZEDILLO ERNESTO 201 ISABELLA STREET PITTSBURGH, PA 15212	Х				
Signatures					
		0			

Brenda Hart (Assistant Secretary), by power of attorney

**Signature of Reporting Person

Date

04/02/2009

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1 For 1
- (2) Phantom stock units were acquired under the Alcoa 2005 Deferred Fee Plan for Directors and are to be paid out in cash after Board service ends.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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