

DEERE &amp; CO

Form 3

November 02, 2016

**FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB  
Number: 3235-0104Expires: January 31,  
2005Estimated average  
burden hours per  
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF  
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Howze Marc A

(Last)

(First)

(Middle)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

11/01/2016

3. Issuer Name and Ticker or Trading Symbol  
DEERE & CO [DE]4. Relationship of Reporting  
Person(s) to Issuer5. If Amendment, Date Original  
Filed(Month/Day/Year)

ONE JOHN DEERE PLACE

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner  
☒ Officer ☐ Other  
(give title below) (specify below)  
Sr. VP & Chief Admin Offcr6. Individual or Joint/Group  
Filing(Check Applicable Line)  
☒ Form filed by One Reporting  
Person  
☐ Form filed by More than One  
Reporting Person

MOLINE,Â ILÂ 61265

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities  
Beneficially Owned  
(Instr. 4)3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

\$1 Par Common Stock

1,306 <sup>(1)</sup>

D

Â

\$1 Par Common Stock

4,926.725 <sup>(2)</sup>

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)3. Title and Amount of  
Securities Underlying  
Derivative Security  
(Instr. 4)4. Conversion  
or Exercise  
Price of  
Derivative5. Ownership  
Form of  
Derivative  
Security:6. Nature of Indirect  
Beneficial  
Ownership  
(Instr. 5)

# Edgar Filing: DEERE & CO - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/05/2008	12/05/2017	Common Stock	6,624	\$ 88.82	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/08/2011	12/08/2020	Common Stock	7,731	\$ 80.61	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/14/2012	12/14/2021	Common Stock	7,996	\$ 74.24	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/12/2013	12/12/2022	Common Stock	8,343	\$ 86.36	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/11/2014	12/11/2023	Common Stock	12,124	\$ 87.46	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/10/2015	12/10/2024	Common Stock	14,619	\$ 88.185	D	Â
Market Priced Employee Stock Options <u>(3)</u> <u>(4)</u>	12/09/2016	12/09/2025	Common Stock	8,585	\$ 79.24	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Howze Marc A ONE JOHN DEERE PLACE MOLINE, IL 61265	Â	Â	Â Sr. VP & Chief Admin Offer	Â

## Signatures

/s/ Paul Wilczynski, Assistant Secretary, Deere & Company, Under Power of Attorney

11/02/2016

                     \*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 1,306 restricted stock units granted under the John Deere Omnibus Equity and Incentive Plan and to be settled solely in shares.
- (2) Represents units in the issuer stock fund of the Deere & Company 401(k) Savings and Investment Plan. The information is based on a plan statement dated as of September 30, 2016 when the per unit value was \$124.02.
- (3) All options include the ability to withhold shares upon the exercise of the option to satisfy minimum required income tax obligations.
- (4) The options become exercisable in three approximately equal annual installments one, two and three years after grant. The date listed in the initial exercisable installment date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.