Wang Theodore T Form 3 April 13, 2018

# FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement Bellerophon Therapeutics, Inc. [BLPH] À Puissance Cross-Border (Month/Day/Year) Opportunities III LLC 09/29/2017 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 950 THIRD AVENUE, 25TH (Check all applicable) FL. (Street) 6. Individual or Joint/Group Director \_\_X\_\_ 10% Owner Officer Other Filing(Check Applicable Line) (give title below) (specify below) Form filed by One Reporting NEW YORK. NYÂ 10022 \_X\_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 8,298,755 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4) Price of Derivative (Instr. 5) Derivative Security:	(Instr. 4) Expiration Date (Month/Day/Year)	Securities Underlying Derivative Security (Instr. 4)	or Exercise	Ownership Form of Derivative	Beneficial Ownership (Instr. 5)
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### Edgar Filing: Wang Theodore T - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Warrants (right to buy)	03/29/2018	09/29/2022	Common Stock	8,298,755	\$ 1.242	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
Puissance Cross-Border Opportunities III LLC 950 THIRD AVENUE 25TH FL NEW YORK, NY 10022	Â	ÂX	Â	Â		
Puissance Capital Fund (GP) LLC 950 THIRD AVENUE 25TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	Â		
Puissance Capital Management LP 950 THIRD AVENUE, 25TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	Â		
Puissance Capital Management (GP) LLC 950 THIRD AVENUE 25TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	Â		
Wang Theodore T C/O PUISSANCE CAPITAL MANAGEMENT LP 950 THIRD AVENUE, 25TH FLOOR NEW YORK, NY 10022	Â	ÂX	Â	Â		

# **Signatures**

Theodore T.
Wang

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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### **Remarks:**

(1)These securities are held directly by Puissance Cross-Border Opportunities III LLC and may be de (2)This filing to the Initial Statement of Beneficial Ownership of Securities on Form 3, is being fi

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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