

APPLIED DNA SCIENCES INC

Form 3

February 23, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Jantzen Beth

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/15/2015

3. Issuer Name and Ticker or Trading Symbol

APPLIED DNA SCIENCES INC [APDN]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

Chief Financial Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

50 HEALTH SCIENCES DRIVE

(Street)

STONY BROOK, NY 11790

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned (Instr. 4)

3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

Common Stock

0

D

J

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and Expiration Date (Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security: Direct (D) or Indirect

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Edgar Filing: APPLIED DNA SCIENCES INC - Form 3

				Shares		(I) (Instr. 5)	
Stock Option	Â (1)	12/21/2024	Common Stock	40,000	\$ 2.86	D	Â
Stock Option	Â (1)	12/09/2018	Common Stock	4,167	\$ 8.16	D	Â
Stock Option	Â (2)	10/13/2018	Common Stock	4,167	\$ 5.31	D	Â
Stock Option	Â (3)	11/28/2018	Common Stock	4,167	\$ 6.96	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jantzen Beth 50 HEALTH SCIENCES DRIVE STONY BROOK, NY 11790	Â	Â	Â Chief Financial Officer	Â

Signatures

/s/ Beth Jantzen 02/23/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options and warrants are fully vested and exercisable.
- (2) 25% of these options will vest and become exercisable each anniversary over four years, commencing on 10/14/14, one year from the date of grant.
- (3) 25% of these options will vest and become exercisable each anniversary over four years, commencing on 11/29/14, one year from the date of grant.

Â
Remarks:
 ExhibitÂ ListÂ -Â ExhibitÂ 24-LimitedÂ PowerÂ ofÂ AttorneyÂ forÂ SectionÂ 16(a)Â Reporting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
 Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.