APPLIED DNA SCIENCES INC

Form 3

November 14, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Bitzer John III

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

11/14/2014

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

APPLIED DNA SCIENCES INC [APDN]

4. Relationship of Reporting Person(s) to Issuer

X Director

Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

50 HEALTH SCIENCES DRIVE

(Street)

(Check all applicable)

(give title below) (specify below)

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

STONY BROOK, ÂNYÂ 11790

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership (Instr. 5)

10% Owner

Other

Direct (D) or Indirect (I)

(Instr. 5)

Ι Common Stock 1,079,513 See footnote (1)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Date Exercisable

Expiration Date

Title

Amount or Number of Derivative Security: Direct (D) Security

or Indirect

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				Shares		(I) (Instr. 5)	
Warrants	(2)	06/02/2015	Common Stock	7,276	\$ 8.25	I	See footnote (1)
Stock Option	(2)	11/29/2016	Common Stock	15,900	\$ 4.08	D	Â
Stock Option	(2)	11/29/2017	Common Stock	7,204	\$ 10.79	D	Â
Stock Option	(2)	10/16/2018	Common Stock	12,778	\$ 5.82	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
Bitzer John III 50 HEALTH SCIENCES DRIVE STONY BROOK, NY 11790	ÂX	Â	Â	Â		

Signatures

/s/ Karol Kain Gray, Attorney-in-Fact

11/14/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - 1,052,113 shares of common stock and 7,276 warrants to purchase common stock owned by Delabarta, Inc., a partnership administered
- (1) by Mr. Bitzer for which his revocable trust is a partner. Mr. Bitzer disclaims beneficial ownership of the shares held by Delabarta, Inc. except to the extent of his pecuniary interest therein.
- (2) These options and warrants are fully vested and exercisable.

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Remarks:

Exhibit List - Exhibit 24-Limited Power of Attorney for Section 16(a)Â Reporting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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