Edgar Filing: Ultragenyx Pharmaceutical Inc. - Form 4

Ultragenyx I Form 4 July 19, 201	Pharmaceutical In	IC.										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									OMB AF	PROVAL		
	UNITED	Washington, D.C. 20549										
Check th if no lon	aor	ox STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF										
subject t Section Form 4 c	o SIATEN 16. pr				Estimated a burden hour response	irs per						
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940												
(Print or Type	Responses)											
KAKKIS EMIL D Symbol			ymbol	er Name and Ticker or Trading enyx Pharmaceutical Inc.				5. Relationship of Reporting Person(s) to Issuer				
			[RARE]					(Check all applicable)				
			Month/D	. Date of Earliest Transaction Month/Day/Year)				Director 10% Owner Officer (give title Other (specify below) below)				
C/O ULTR PHARMAC LEVERON	CEUTICAL INC.,)7/15/2(016				· · · · · · · · · · · · · · · · · · ·	ident & CEO			
	(Street) 4. If Amer Filed(Mon				te Original			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
NOVATO, CA 94949								Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Transaction Date 2A. Deemed Ionth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securit n(A) or Dis (Instr. 3, 4	sposed and f (A)	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Common Stock	07/15/2016			S <u>(1)</u>	13,550	D	\$ 50.61 (2)	513,597	D			
Common Stock	07/15/2016			S <u>(1)</u>	6,450	D	\$ 51.34 (3)	507,147	D			
Common Stock								2,552,241	Ι	By Emil Kakkis and Jenny Soriano		

Living
Trust,
Dated
June 18
2009

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
KAKKIS EMIL D C/O ULTRAGENYX PHARMACEUTICAL INC. 60 LEVERONI COURT NOVATO, CA 94949			President & CEO				
Signatures							
/s/ Shalini Sharp by power of attorney for Emil D. Ka Ph.D.	akkis, M.D.	,	07/19/2016				
<u>**</u> Signature of Reporting Person			Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1)

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The sales reported on this Form 4 were effected pursuant to a trading plan adopted by the Reporting Person pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.00 to \$50.99 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(2) To \$50.99 inclusive. The Reporting Person undertakes to provide to the issuer, any security holder of the issuer, of the issuer, of the start of the issuer, any security holder of the issuer, of the issuer, of the start of the range.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.00 to \$51.71 inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(3) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.