

Lucot Joseph R
Form 3
January 05, 2007

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Lucot Joseph R | | (Month/Day/Year) | ALCOA INC [AA] | |
| (Last) | (First) | (Middle) | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| | | 01/01/2007 | | |
| 201 ISABELLA STREET | | | (Check all applicable) | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| (Street) | | | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| PITTSBURGH,Â PAÂ 15212 | | | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person |
| (City) | (State) | (Zip) | (give title below) | (specify below) |
| | | | VP - Corporate Controller | |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 36,116.6234 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of | |

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| | | | | Shares | | (I) (Instr. 5) | |
|---|------------------|------------------|-----------------|--------|-------------------|-------------------|---|
| Employee Stock Option (right to buy) | 01/11/2003 | 01/11/2012 | Common Stock | 24,000 | \$ 36.035 | D | Â |
| Employee Stock Option (right to buy) | 10/27/2001 | 01/13/2009 | Common Stock | 20,020 | \$ 41.82 | D | Â |
| Employee Stock Option (right to buy) | 11/17/2001 | 01/14/2010 | Common Stock | 28,558 | \$ 45 | D | Â |
| Employee Stock Option (right to buy) | 01/17/2002 | 01/13/2008 | Common Stock | 18,050 | \$ 39.4008 | D | Â |
| Employee Stock Option (right to buy) | 07/23/2002 | 01/12/2011 | Common Stock | 6,238 | \$ 34.975 | D | Â |
| Employee Stock Option (right to buy) | 06/17/2004 | 01/12/2011 | Common Stock | 5,472 | \$ 35.265 | D | Â |
| Employee Stock Option (right to buy) | 06/30/2004 | 01/12/2011 | Common Stock | 11,316 | \$ 38.425 | D | Â |
| Employee Stock Option (right to buy) | 01/10/2004 | 01/10/2013 | Common Stock | 21,066 | \$ 22.555 | D | Â |
| Employee Stock Option (right to buy) | 01/15/2005 | 01/15/2010 | Common Stock | 23,000 | \$ 35.655 | D | Â |
| Employee Stock Option (right to buy) | 01/13/2006 | 01/13/2011 | Common Stock | 30,000 | \$ 29.54 | D | Â |
| Phantom Stock Units | Â ⁽¹⁾ | Â ⁽¹⁾ | Common Stock | 164 | \$ ⁽²⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-----------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Lucot Joseph R 201 ISABELLA STREET PITTSBURGH, PA 15212 | Â | Â | Â VP - Corporate Controller | Â |

Signatures

Brenda Hart (Assistant Secretary), by power of attorney 01/05/2007

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The phantom stock units were acquired under the Alcoa Deferred Compensation Plan and are to be paid out in cash upon termination of employment with Alcoa.

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(2) 1 for 1

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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