Davis Samuel S Form 4

# November 22, 2017 Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31,

Expires:

if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

2005 Estimated average burden hours per

**OMB APPROVAL** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

response... 0.5

1(b).

Stock

(Print or Type R	Responses)									
1. Name and A Davis Samu	Symbol PATRI	2. Issuer Name and Ticker or Trading Symbol PATRIOT NATIONAL BANCORP INC [PNBK]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
	(First) (MOT NATIONAL INC., 900 BEDF	(Month/I 11/20/2	•				Director 10% OwnerX Officer (give title Other (specify below)  EVP and Chief Credit Officer			
CT A MEODI	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
STAMFORI	D, CT 06901						Person			
(City)	(State)	Zip) Tab	le I - Non-D	erivative S	Securi	ities Acc	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or Do (D) (Instr. 3,	4 and (A) or	d of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	11/20/2017		S	1,000 (1)	D D	\$ 17.9	1,884	D		
Common Stock							1,990	I	By Samuel S. Davis IRA	
Common							1 700	ī	By Samuel S. Davis	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S. Davis

Roth IRA

1,700

#### Edgar Filing: Davis Samuel S - Form 4

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SEC 1474 (9-02)

> 9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Titl	le and	8. Price of	- 1
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration Da	ite	Amou	ınt of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	
	Derivative				Securities			(Instr.	3 and 4)		
	Security				Acquired						]
					(A) or						]
					Disposed						
					of (D)						
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
							Date	Title	Number		
						Exercisable	Date		of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships
reporting owner rume, rudicios	

10% Owner Officer Director Other

Davis Samuel S C/O PATRIOT NATIONAL BANCORP, INC. 900 BEDFORD STREET STAMFORD, CT 06901

EVP and Chief Credit Officer

#### **Signatures**

/s/ Samuel S. 11/21/2017 **Davis** 

\*\*Signature of Date

Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On November 20, 2017, the Reporting Person sold 1,000 shares of the Issuer's common stock on the open market at a price of \$17.90 per share. As of the date of this filing, the 1,884 shares of common stock that the Reporting Person directly owns include 1,154 unvested

(1) shares and 730 vested shares. (The Reporting Person's Form 4 filed on December 28, 2016 included inadvertent typos that as of the date thereof the Reporting Person owned "1,153 vested shares and 1,730 unvested share", which should have been "1,154 unvested shares and 1,730 vested share".

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2