BGC Partners, Inc. Form 4 June 03, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5

1(b).

(Last)

per share

PARK AVENUE

obligations

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: Estimated average

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* WEIS ALBERT M

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

BGC Partners, Inc. [BGCP]

3. Date of Earliest Transaction

(Month/Day/Year) 06/03/2014

C/O BGC PARTNERS, INC., 499

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

burden hours per

response...

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

\_X\_\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10022

(City)	(State) (Z	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	(Month/Day/Year) Execution Date, if TransactionAcq any Code Disp		4. SecurionAcquired Disposed (Instr. 3,	l (A) o l of (D 4 and	))	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common			Code V	Amount 7,143	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				
Stock, par value \$0.01 per share	06/03/2014		A	(1)	A	\$0	293,275 (2)	D			
Class A Common Stock, par value \$0.01							2,000	I	See footnote (3)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	9.
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if TransactionNumber		onNumber	Expiration Date An		Amou	nt of	Derivative	De
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Se
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	;		Securi	ties	(Instr. 5)	Ве
		Derivative				Securities			(Instr.	3 and 4)		O
		Security				Acquired						Fo
						(A) or						Re
						Disposed						Tr
						of (D)						(Iı
						(Instr. 3,						
						4, and 5)						
										Amount		
										or		
							Date		Title Num			
							Exercisable			of		
					Code V	(A) (D)				Shares		
					Code V	(A) $(D)$				Silaies		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

WEIS ALBERT M C/O BGC PARTNERS, INC. X 499 PARK AVENUE NEW YORK, NY 10022

## **Signatures**

/s/ Albert M. 06/03/2014 Weis

\*\*Signature of Date Reporting Person

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The 7,143 shares of Class A Common Stock are represented by 7,143 restricted stock units ("RSUs") granted under the BGC Partners, Inc. Fifth Amended and Restated Long Term Incentive Plan. Each RSU represents a contingent right to receive one share of Class A **(1)** Common Stock. Of the 7,143 RSUs, 3,572 RSUs will vest on June 3, 2015 and 3,571 RSUs will vest on June 3, 2016, provided that the reporting person continues to serve as a member of the Board of Directors on such dates.
- Includes (i) 7,599 RSUs granted December 17, 2012, which will vest on December 17, 2014; and (ii) 15,198 RSUs granted on June 4, (2) 2013, of which 7,599 RSUs will vest on June 4, 2014 and 7,599 RSUs will vest on June 4, 2015, provided that the reporting person continues to serve as a member of the Board of Directors on such dates.

(3)

Reporting Owners 2

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The 2,000 shares of Class A Common Stock held indirectly by the reporting person consist of (1) 1,000 shares held by the reporting person's spouse and (2) 1,000 shares held in trust for the benefit of the reporting person's children.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.