Edgar Filing: ALPINE TOTAL DYNAMIC DIVIDEND FUND - Form 4

ALPINE TOTAL DYNAMIC DIVIDEND FUND

Form 4

September 01, 2015

FORM 4 UNITED STATES SECURITIES AND EVOLUNCE COMMISSION								_	OMB APPROVAL		
Washington, D.C. 20549									3235-0287		
Check this box									January 31,		
if no longer subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: Estimated burden he response	•		
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	Responses)										
1. Name and Lieber Sam	Address of Reporting nuel A	2. Issuer Name ar Symbol ALPINE TOTA				5. Relationship of Reporting Person(s) to Issuer					
			DIVIDEND FU				(Check all applicable)				
(Last)	(First) (3. Date of Earliest Month/Day/Year)	Fransaction			_X_ Director 10% OwnerX_ Officer (give title Other (specify					
C/O ALPINE WOODS CAPITAL 08/28/2015 INVESTORS, 2500 WESTCHESTER AVENUE, SUITE 215							below)	below) President			
PURCHAS	4. If Amendment, I Filed(Month/Day/Ye	Amendment, Date Original Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
		(Zip)					Person				
(City)	(State)	(Zip)	Table I - Non-	Derivative	Secur	rities Acq	uired, Disposed o	of, or Benefic	ially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution I any (Month/Day	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common shares of beneficial	08/28/2015		Code V	Amount 35,000	or (D)	Price \$ 8.042	(Instr. 3 and 4) 47,500 (1)	D			
Common shares of beneficial interest	08/31/2015		Р	15,000	A	\$ 8.045	62,500 (1)	D			

P

08/28/2015

35,000 A

47,500 (2)

I

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Common shares of beneficial interest					\$ 8.042			By Charitable Foundation
Common shares of beneficial interest	08/31/2015	P	15,000	A	\$ 8.045	62,500 (2)	I	By Charitable Foundation
Common shares of beneficial interest						25,000 (3)	I	By Limited Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	of			Amour Underl Securit	nt of lying	Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Fund, Fundament	Director	10% Owner Officer		Othe			
Lieber Samuel A							
C/O ALPINE WOODS CAPITAL INVESTORS	X		President				
2500 WESTCHESTER AVENUE, SUITE 215	Λ		Tiesident				
PURCHASE, NY 10577							

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Signatures

/s/ Samuel A. 09/01/2015 Lieber

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 12,500 shares held individually which reflect the impact of 1 for 2 reverse stock split on January 21, 2014. The balance held in joint tenancy with wife
- (2) Shares held prior to the reported purchase reflect the impact of 1 for 2 reverse stock split on January 21, 2014
- (3) Reflects the effect of 1 for 2 reverse stock split on January 21, 2014

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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