

BERNHARDT GEORGE ALEXANDER SR  
 Form 4  
 January 10, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 BERNHARDT GEORGE  
 ALEXANDER SR

(Last) (First) (Middle)

BERNHARDT FURNITURE  
 COMPANY, POST OFFICE BOX  
 740

(Street)

LENOIR, NC 28645

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Duke Energy CORP [DUK]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 01/08/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Code V Amount (D) Price   |  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative | 2. Conversion | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if | 4. Transaction | 5. Number of Derivative | 6. Date Exercisable and Expiration Date | 7. Title and Amount of Underlying Securities |
|------------------------|---------------|--------------------------------------|-------------------------------|----------------|-------------------------|---|--|
|------------------------|---------------|--------------------------------------|-------------------------------|----------------|-------------------------|---|--|

Edgar Filing: BERNHARDT GEORGE ALEXANDER SR - Form 4

| Security<br>(Instr. 3)               | or Exercise<br>Price of<br>Derivative<br>Security | any<br>(Month/Day/Year) | Code<br>(Instr. 8) | Securities  |       | (Month/Day/Year)    |                    | (Instr. 3 and 4) |  |       |
|--------------------------------------|---|-------------------------|--------------------|---|-------|---------------------|--------------------|------------------|--|-------|
|                                      |   |                         |                    | Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4, and<br>5) |       | Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of<br>Shares |       |
|                                      |   |                         | Code               | V   | (A)   | (D)                 |                    |                  |  |       |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 26.91  | 01/08/2007              | D <sup>(1)</sup>   |   |       | 3,600               | 04/15/2003         | 04/15/2009       | Common<br>Stock                        | 3,600 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 15.33  | 01/08/2007              | A <sup>(1)</sup>   |   | 3,600 |                     | 04/15/2003         | 04/15/2009       | Common<br>Stock                        | 3,600 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 24.88  | 01/08/2007              | D <sup>(1)</sup>   |   |       | 4,000               | 12/20/2004         | 12/20/2009       | Common<br>Stock                        | 4,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 14.17  | 01/08/2007              | A <sup>(1)</sup>   |   | 4,000 |                     | 12/20/2004         | 12/20/2009       | Common<br>Stock                        | 4,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 42.81  | 01/08/2007              | D <sup>(1)</sup>   |   |       | 4,000               | 12/20/2005         | 12/20/2010       | Common<br>Stock                        | 4,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 24.38  | 01/08/2007              | A <sup>(1)</sup>   |   | 4,000 |                     | 12/20/2005         | 12/20/2010       | Common<br>Stock                        | 4,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 37.68  | 01/08/2007              | D <sup>(1)</sup>   |   |       | 4,000               | 12/19/2006         | 12/19/2011       | Common<br>Stock                        | 4,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 21.46  | 01/08/2007              | A <sup>(1)</sup>   |   | 4,000 |                     | 12/19/2006         | 12/19/2011       | Common<br>Stock                        | 4,000 |
| Stock<br>Option<br>(Right to<br>Buy) | \$ 13.77  | 01/08/2007              | D <sup>(1)</sup>   |   |       | 4,000               | <sup>(2)</sup>     | 02/25/2013       | Common<br>Stock                        | 4,000 |
|                                      | \$ 7.84   | 01/08/2007              | A <sup>(1)</sup>   |   | 4,000 |                     | <sup>(2)</sup>     | 02/25/2013       |  | 4,000 |

Stock  
Option  
(Right to  
Buy)

Common  
Stock

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| BERNHARDT GEORGE ALEXANDER SR<br>BERNHARDT FURNITURE COMPANY<br>POST OFFICE BOX 740<br>LENOIR, NC 28645 | X             |           |         |       |

## Signatures

By: David S. Maltz, 01/10/2007  
Attorney-in-fact for

\*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On January 2, 2007, Duke Energy Corporation ("Issuer") spun off its natural gas businesses to form the stand-alone company Spectra Energy Corp. As a result, equitable adjustments were made to Duke Energy's outstanding stock option awards.
- (2) Vested in four annual, equal installments beginning on February 25, 2004.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.