

Edgar Filing: Pioneer Floating Rate Trust - Form DEFA14A

Pioneer Floating Rate Trust
Form DEFA14A
September 18, 2012

D. F. King & Co., Inc.
Telephone Script
Pioneer Floating Rate Trust-Preferred
Pioneer High Income Trust-Preferred
Special Meeting of Shareholders: September 18, 2012

Introduction

SCREEN 1:
Hello, my name is [STATE YOUR FIRST AND LAST NAME] and I am calling from D.F. King & Co. on behalf of the [STATE PIONEER FUND NAME] May I speak with Mr. /Mrs. /Ms. [SHAREHOLDER'S LAST NAME]?

[IF THE SHAREHOLDER IS NOT AVAILABLE]

I am calling regarding The Annual Meeting of Shareholders scheduled for September 18, 2012. May I leave a call back number so that he/she may get more information regarding the matter? Or is there a better time to reach him/her?

[ONCE SHAREHOLDER IS ON THE LINE]

Mr. /Mrs. /Ms. [SHAREHOLDER'S LAST NAME] my name is [STATE YOUR FIRST AND LAST NAME] and I'm calling on a recorded line regarding your investment in the [STATE PIONEER FUND NAME] Recently, you were mailed proxy materials, along with a proxy card or voting instruction form to cast your vote at the upcoming Annual Meeting of Shareholders to be held on September 18, 2012. The tabulator for the Annual Meeting has yet to receive your vote. Your vote is very important. In order to hold the shareholder meeting, the Fund must obtain a minimum number of voted Fund positions to achieve quorum. Your vote, regardless of how you cast it, will help the Fund achieve that goal.

IF THEY DID NOT RECEIVE PROXY MATERIALS - Help the shareholder obtain the materials he/she requires. If a NOBO, give him/her the 800 number and have them call back when they receive the materials. If registered, we will send the materials directly. In either case, make sure the address is correct, make any necessary corrections, and code the disposition as "14" or "15".

IF YES - The Board of Trustees has approved the proposal and is recommending a vote in favor of the proposal. Would you like to vote your shares as recommended by the Board on the proposal?
Just to confirm, you have voted with the recommendation of the Board on the proposal. Is that correct?

IF YES - Thank you. I've recorded your vote as recommended by the Board in favor of the proposal. We'll send a written confirmation of this vote to you at your address of record which will also provide instructions should you later decide to change your vote.

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For confirmation purposes, please tell me your full name and address, including city, state and zip code.

If the shareholder's name and address are correct:
Thank you for your time and your vote Mr. /Mrs. /Ms.
[SHAREHOLDER'S LAST NAME]. Have a good
[DAY/AFTERNOON/EVENING]. END CALL.

If the shareholder's name and address are NOT correct:
Mr./Mrs./Ms.[SHAREHOLDER'S LAST NAME] the information
that you just recited for me doesn't match our records
and, therefore, I can't take your vote by telephone.
Instead, I urge you to complete, sign, date and return
your proxy card or voting instruction form at your
earliest convenience, or vote your shares by touch-tone
telephone or on the Internet by following the
instructions provided on your proxy card or voting
instruction form. Thank you for your time and have a
good [DAY/AFTERNOON/EVENING]. END CALL.

IF NO - [REFER TO APPROPRIATE REBUTTAL AND CONCLUDE BY
ASKING IF UPON REFLECTION THE SHAREHOLDER WOULD LIKE YOU
TO RECORD A VOTE CONSISTENT WITH THE BOARD'S
RECOMMENDATION ON THE PROPOSAL.]

IF YES - Thank you. I've recorded your vote as
recommended by the Board on the proposal. We'll send a
written confirmation of this vote to you at your address
of record which will also provide instructions should
you later decide to change your vote. For confirmation
purposes, please tell me your full name and address,
including city, state and zip code.

If the shareholder's name and address are correct:

Thank you for your time and your vote Mr. /Mrs. /Ms.
[SHAREHOLDER'S LAST NAME]. Have a good
[DAY/AFTERNOON/EVENING]. END CALL.

If the shareholder's name and address are NOT correct:
Mr./Mrs./Ms.[SHAREHOLDER'S LAST NAME] the information
that you just recited for me doesn't match our records
and, therefore, I can't take your vote by telephone.
Instead, I urge you to complete, sign, date and return
your proxy card or voting instruction form at your
earliest convenience, or vote your shares by touch-tone
telephone or on the Internet by following the
instructions provided on your proxy card or voting
instruction form. Thank you for your time and have a
good [DAY/AFTERNOON/EVENING]. END CALL.

IF STILL NO - Okay, I can still help. Would you like
to vote your shares contrary to the recommendation of
the Board and against the proposal?

AGAINST PROPOSAL - Thank you. I have recorded your
vote against the proposal. We'll send a written
confirmation of this vote to you at your address of
record which will also provide instructions should you
later decide to change your vote. For confirmation
purposes, please tell me your full name and address,
including city, state and zip code.

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If the shareholder's name and address are correct:

Thank you for your time and your vote Mr. /Mrs. /Ms.
[SHAREHOLDER'S LAST NAME]. Have a good
[DAY/AFTERNOON/EVENING]. END CALL.

If the shareholder's name and address are NOT correct:

Mr. /Mrs. /Ms. [SHAREHOLDER'S LAST NAME] the
information that you just recited for me doesn't match
our records and, therefore, I can't take your vote by
telephone. Instead, I urge you to complete, sign, date
and return your proxy card or voting instruction form
at your earliest convenience, or vote your shares by
touch tone telephone or on the Internet by following
the instructions provided on your proxy card or voting
instruction form. Thank you for your time and have a
good [DAY/AFTERNOON/EVENING]. END CALL.

IF NO (I.E., THEY JUST WON'T VOTE) - I'm sorry for the
inconvenience. As a shareholder of the Fund on the
record date, your vote is very important. If you change
your mind and would like us to assist you in voting by
telephone, please call us back toll-free at
1-800-290-6430 at any time between 8:00 AM and 10:00 PM
(Eastern Time) weekdays or between Noon and 5:00 PM on
Saturdays. Or you can vote at any time by completing,
signing, dating and returning your proxy card or voting
instruction form using the postage-paid envelope
provided, or by touch-tone telephone or on the Internet
by following the instructions provided on your proxy
card or voting instruction form. Thank you for your
time and have a good [DAY/AFTERNOON/EVENING]. END CALL.

Pioneer Floating Rate Trust-Preferred
Pioneer High Income Trust-Preferred
Annual Meeting of Shareholders
September 18, 2012

Answering Machine Message

Hello. My name is [STATE YOUR FIRST AND LAST NAME] and
I am calling from D.F. King & Co. regarding your
investment in the [STATE PIONEER FUND NAME]. You
should have recently received proxy materials in the
mail concerning the Annual Meeting of Shareholders to
be held on September 18, 2012.

Your vote is very important. In order to hold the
shareholder meeting, the Fund must obtain a minimum
number of voted Fund positions to achieve quorum. Your
vote, regardless of how you cast it, will help the Fund
achieve that goal.

Please sign, date and promptly mail your proxy card or

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voting instruction form in the postage-paid envelope provided. Internet or touch-tone telephone voting also is available. Please follow the instructions provided on your proxy card or voting instruction form.

If you have any questions, would like to vote, or need new proxy materials, please call D.F. King, which is your Fund's proxy solicitor, at 1-800-290-6430.

Thank you.