

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

Small Cap Premium & Dividend Income Fund, Inc.  
Form NSAR-B  
February 29, 2008

PAGE 1  
000 B000000 12/31/2007  
000 C000000 0001322979  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 SMALL CAP PREMIUM & DIVIDEND INCOME FUND INC.  
001 B000000 811-21746  
001 C000000 8774494742  
002 A000000 P.O. BOX 9011  
002 B000000 PRINCETON  
002 C000000 NJ  
002 D010000 08543  
002 D020000 9011  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
007 C010200 2  
007 C010300 3  
007 C010400 4  
007 C010500 5  
007 C010600 6  
007 C010700 7  
007 C010800 8  
007 C010900 9  
007 C011000 10  
008 A000001 IQ INVESTMENT ADVISORS LLC  
008 B000001 A  
008 C000001 801-63151  
008 D010001 NEW YORK  
008 D020001 NY  
008 D030001 10080  
008 A000002 BLACKROCK INVESTMENT MANAGEMENT, LLC  
008 B000002 S  
008 C000002 801-56972  
008 D010002 PLAINSBORO  
008 D020002 NJ  
008 D030002 08536  
010 A000001 PRINCETON ADMINISTRATORS, LLC  
010 C010001 PRINCETON  
010 C020001 NJ  
010 C030001 08543  
010 C040001 9095  
011 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
PAGE 2  
011 B000001 8-7221  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10281  
011 C040001 1201  
012 A000001 BNY MELLON SHAREOWNER SERVICES

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

012 B000001 85-05006  
012 C010001 JERSEY CITY  
012 C020001 NJ  
012 C030001 07310  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 PRINCETON  
013 B020001 NJ  
013 B030001 08540  
014 A000001 MLPF&S AND OTHER ML BROKER/DEALER AFFILIATES  
014 B000001 8-7221  
014 A000002 BLACKROCK INVESTMENTS, INC.  
014 B000002 8-048436  
014 A000003 NORTHERN FUNDS DISTRIBUTORS, LLC  
014 B000003 8-051242  
014 A000004 PNC CAPITAL MARKETS, LLC  
014 B000004 8-032493  
014 A000005 J.J.B. HILLIARD W.L. LYONS, INC.  
014 B000005 8-033133  
014 A000006 PFPC DISTRIBUTORS, INC.  
014 B000006 8-045467  
014 A000007 MGI FUNDS DISTRIBUTORS, INC.  
014 B000007 8-046960  
014 A000008 ABN AMRO DISTRIBUTION SERVICES, INC.  
014 B000008 8-052396  
014 A000009 BLACKROCK DISTRIBUTORS, INC.  
014 B000009 8-048775  
014 A000010 PERSIMMON SECURITIES, INC.  
014 B000010 8-053071  
014 A000011 PNC INVESTMENTS LLC  
014 B000011 8-066195  
014 A000012 STATE STREET RESEARCH INVEST SERV, INC.  
014 B000012 8-035442  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 BOSTON  
015 C020001 MA  
015 C030001 02101  
015 E010001 X  
015 A000002 THE BANK OF NEW YORK MELLON  
015 B000002 S  
015 C010002 NEW YORK  
015 C020002 NY  
015 C030002 10286  
015 E010002 X  
015 A000003 BANKERS TRUST COMPANY  
PAGE 3  
015 B000003 S  
015 C010003 NEW YORK  
015 C020003 NY  
015 C030003 10006  
015 E010003 X  
015 A000004 CUSTODIAL TRUST CO.  
015 B000004 S  
015 C010004 NEW YORK  
015 C020004 NY  
015 C030004 10167  
015 E010004 X  
015 A000005 MORGAN GUARANTY TRUST CO. OF NEW YORK  
015 B000005 S  
015 C010005 NEW YORK  
015 C020005 NY  
015 C030005 10260

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

015 E010005 X  
015 A000006 J.P. MORGAN CHASE BANK  
015 B000006 S  
015 C010006 NEW YORK  
015 C020006 NY  
015 C030006 10017  
015 E010006 X  
018 000000 Y  
019 A000000 Y  
019 B000000 10  
019 C000000 IQINVADVIS  
020 A000001 CITIGROUP GLOBAL MARKETS, INC.  
020 B000001 11-2418191  
020 C000001 39  
020 A000002 UBS SECURITIES LLC  
020 B000002 13-3873456  
020 C000002 24  
020 A000003 LEHMAN BROTHERS INC.  
020 B000003 13-2518466  
020 C000003 13  
020 A000004 DEUTSCHE BANK SECURITIES INC.  
020 B000004 13-2730828  
020 C000004 11  
020 A000005 BLOOMBERG TRADEBOOK LLC  
020 C000005 8  
020 A000006 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
020 B000006 13-5674085  
020 C000006 4  
020 A000007 GOLDMAN, SACHS & CO.  
020 B000007 13-5108880  
020 C000007 4  
020 A000008 WEEDEN & CO. L.P.  
020 B000008 13-3364318  
020 C000008 2  
020 A000009 KNIGHT CAPITAL MARKETS LLC  
PAGE 4  
020 B000009 52-2105134  
020 C000009 1  
020 A000010 CREDIT SUISSE SECURITIES (USA) L.L.C.  
020 B000010 13-5659485  
020 C000010 1  
021 000000 107  
022 A000001 BROWN BROTHERS HARRIMAN & CO.  
022 B000001 13-4973745  
022 C000001 6405375  
022 D000001 0  
022 A000002 STATE STREET GLOBAL MARKETS, LLC  
022 C000002 842312  
022 D000002 0  
022 A000003 BANC OF AMERICA SECURITIES LLC  
022 B000003 56-2058405  
022 C000003 20728  
022 D000003 15967  
022 A000004 FTN FINANCIAL SECURITIES CORP.  
022 B000004 62-0201385  
022 C000004 24811  
022 D000004 0  
022 A000005 NYC POLICE DEPARTMENT PENSION FUND  
022 B000005 13-6357165  
022 C000005 365  
022 D000005 1739  
022 A000006 NVIT SMALL CAP INDEX

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

022 B000006 20-4300621  
 022 C000006 287  
 022 D000006 1124  
 022 A000007 PACIFIC SELECT SMALL-CAP INDEX PORTFOLIO  
 022 B000007 33-0829170  
 022 C000007 286  
 022 D000007 670  
 022 A000008 MASTER SMALL CAP INDEX SERIES  
 022 B000008 22-3483690  
 022 C000008 420  
 022 D000008 518  
 022 A000009 NYC FIRE DEPARTMENT PENSION FUND  
 022 B000009 13-6357165  
 022 C000009 266  
 022 D000009 612  
 022 A000010 NATIONWIDE SMALLCAP  
 022 B000010 31-1680673  
 022 C000010 302  
 022 D000010 556  
 023 C000000 7295853  
 023 D000000 24632  
 024 000000 Y  
 025 A000001 KNIGHT CAPITAL GROUP, INC  
 025 C000001 E  
 025 D000001 225

PAGE 5

025 D000002 0  
 025 D000003 0  
 025 D000004 0  
 025 D000005 0  
 025 D000006 0  
 025 D000007 0  
 025 D000008 0  
 026 A000000 N  
 026 B000000 N  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 045 000000 Y  
 046 000000 N  
 047 000000 Y  
 048 000000 0.900  
 048 A010000 0  
 048 A020000 0.000  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000  
 048 F010000 0  
 048 F020000 0.000  
 048 G010000 0  
 048 G020000 0.000  
 048 H010000 0

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

048 H020000 0.000  
 048 I010000 0  
 048 I020000 0.000  
 048 J010000 0  
 048 J020000 0.000  
 048 K010000 0  
 048 K020000 0.000  
 049 000000 N  
 050 000000 N  
 051 000000 N  
 052 000000 N  
 053 A000000 N  
 054 A000000 Y  
 054 B000000 Y  
 054 C000000 N  
     PAGE 6  
 054 D000000 N  
 054 E000000 N  
 054 F000000 N  
 054 G000000 N  
 054 H000000 Y  
 054 I000000 N  
 054 J000000 Y  
 054 K000000 N  
 054 L000000 N  
 054 M000000 Y  
 054 N000000 N  
 055 A000000 Y  
 055 B000000 N  
 056 000000 Y  
 057 000000 N  
 058 A000000 N  
 059 000000 Y  
 060 A000000 Y  
 060 B000000 Y  
 061 000000 0  
 062 A000000 N  
 062 B000000 0.0  
 062 C000000 0.0  
 062 D000000 0.0  
 062 E000000 0.0  
 062 F000000 0.0  
 062 G000000 0.0  
 062 H000000 0.0  
 062 I000000 0.0  
 062 J000000 0.0  
 062 K000000 0.0  
 062 L000000 0.0  
 062 M000000 0.0  
 062 N000000 0.0  
 062 O000000 0.0  
 062 P000000 0.0  
 062 Q000000 0.0  
 062 R000000 0.0  
 066 A000000 Y  
 066 B000000 N  
 066 C000000 N  
 066 D000000 N  
 066 E000000 N  
 066 F000000 Y  
 066 G000000 N  
 067 000000 N

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	N	
	PAGE	7	
070	B010000	Y	
070	B020000	N	
070	C010000	N	
070	C020000	N	
070	D010000	Y	
070	D020000	Y	
070	E010000	Y	
070	E020000	N	
070	F010000	Y	
070	F020000	Y	
070	G010000	Y	
070	G020000	N	
070	H010000	Y	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	N	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	N	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	N	
070	P010000	Y	
070	P020000	Y	
070	Q010000	N	
070	Q020000	N	
070	R010000	Y	
070	R020000	N	
071	A000000		34486
071	B000000		58932
071	C000000		227156
071	D000000	15	
072	A000000	12	
072	B000000		1188
072	C000000		2694
072	D000000		0
072	E000000		0
072	F000000		2298
072	G000000		98
072	H000000		0
072	I000000		48
072	J000000		0
072	K000000		0
072	L000000		20
072	M000000		59
	PAGE	8	
072	N000000		0
072	O000000		0
072	P000000		0
072	Q000000		0

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

072 R000000	45
072 S000000	43
072 T000000	0
072 U000000	0
072 V000000	0
072 W000000	59
072 X000000	2670
072 Y000000	34
072 Z000000	1246
072AA000000	41797
072BB000000	21605
072CC010000	0
072CC020000	16221
072DD010000	5601
072DD020000	0
072EE000000	21655
073 A010000	0.4100
073 A020000	0.0000
073 B000000	1.5900
073 C000000	0.0000
074 A000000	7
074 B000000	0
074 C000000	32181
074 D000000	0
074 E000000	0
074 F000000	205290
074 G000000	0
074 H000000	0
074 I000000	270
074 J000000	875
074 K000000	0
074 L000000	674
074 M000000	0
074 N000000	239297
074 O000000	682
074 P000000	185
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	2014
074 R040000	1864
074 S000000	0
074 T000000	234552
074 U010000	13645
074 U020000	0
074 V010000	17.19
074 V020000	0.00
PAGE 9	
074 W000000	0.0000
074 X000000	10511
074 Y000000	31110
075 A000000	0
075 B000000	253934
076 000000	15.40
077 A000000	Y
077 B000000	Y
078 000000	N
080 A000000	ICI MUTUAL INSURANCE CO.
080 C000000	750
081 A000000	Y
081 B000000	9
082 A000000	N

Edgar Filing: Small Cap Premium & Dividend Income Fund, Inc. - Form NSAR-B

082	B000000	0
083	A000000	N
083	B000000	0
084	A000000	N
084	B000000	0
085	A000000	Y
085	B000000	N
086	A010000	82
086	A020000	1663
086	B010000	49
086	B020000	924
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	83165P101
087	A030000	RCC
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	DONALD C. BURKE	
TITLE	PRESIDENT	