### BOK FINANCIAL CORP ET AL

11/23/2015

Stock

Stock

Common

Form 4

November 24, 2015

| 1 VO VCIII DCI 2  | 24, 2013   |   |                                      |          |                  |               |  |   |   |  |  |
|---|--|---|--------------------------------------|----------|------------------|---------------|--|---|---|--|--|
| FORM  | <b>14</b>  |   |                                      | A NUMBER | CIT              | NOT GO        |  | OMB AF  | PPROVAL   |  |  |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 |  |   |                                      |          |                  |               |  | OMB<br>Number: 3235-02  |   |  |  |
| Check t   |  | Tubinington, Dict 2007)                                     |                                      |          |                  |               |  |   | January 31,   |  |  |
| if no lor<br>subject  |  | MENT OF CHA   | F CHANGES IN BENEFICIAL OWNERSHIP OF |          |                  |               |  | Expires:  | 2005  |  |  |
| -   | Section 16. SECURITIES   |   |                                      |          |                  |               |  | Estimated average burden hours per  |   |  |  |
| Form 4  |  |   |                                      |          |                  |               | 0.5  |   |   |  |  |
| obligati<br>may cor   | Form 5 obligations may continue. See Instruction 1(b).  Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 |   |                                      |          |                  |               |  |   |   |  |  |
| (Print or Type  | Responses)   |   |                                      |          |                  |               |  |   |   |  |  |
| 1. Name and<br>Parker Dor   | Address of Reporting   | Person * 2. Issu<br>Symbol                                  | Inc                                  |          |                  |               | 5. Relationship of Reporting Person(s) to ssuer                  |   |   |  |  |
|   |  | *   | FINANCI                              | AL COR   | PET              | AL            | (Check all applicable)   |   |   |  |  |
| (Last)  | (First) (  | , , , , , , , , , , , , , , , , , , ,                       |                                      |          |                  |               |  | 10% Owner e title Other (specify  |   |  |  |
| C/O EDED  |  | onth/Day/Year)  |                                      |          |                  | below) below) |  |   |   |  |  |
|   | DERIC DORWAR<br>S, 124 EAST FOU  | 11, =0,   | 2015                                 |          |                  |               | Chie   | f Risk Officer  |   |  |  |
| (Street)  |  |   | 4. If Amendment, Date Original       |          |                  |               | 6. Individual or Joint/Group Filing(Check                        |   |   |  |  |
|   | (Month/Day/Year)   |   |                                      |          | Applicable Line) |               |  |   |   |  |  |
| TULSA, O  | OK 74103   |   |                                      |          |                  | _             | X_ Form filed by O<br>Form filed by M<br>erson                   |   |   |  |  |
| (City)  | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned   |   |                                      |          |                  |               |  |   | ly Owned  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                                    | 2. Transaction Date<br>(Month/Day/Year)  | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 3, 4 and 5)             |          |                  |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | Ownership Indire<br>Form: Benef<br>Direct (D) Owne<br>or Indirect (Instr. | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |  |
|   |  |   |                                      |          | (A)              |               | Reported<br>Transaction(s)                                       | (I)<br>(Instr. 4)   |   |  |  |
|   |  |   | Code V                               | Amount   | or<br>(D)        | Price         | (Instr. 3 and 4)   | (1115111 1)   |   |  |  |
| Common<br>Stock   | 11/20/2015   |   | M                                    | 7,155    | A                | \$ 36.65      | 31,362   | D   |   |  |  |
| Common<br>Stock   | 11/20/2015   |   | M                                    | 3,200    | A                | \$ 55.94      | 34,562   | D   |   |  |  |
| Common<br>Stock   | 11/23/2015   |   | F                                    | 10,355   | D                | \$<br>69.2515 | 24,207   | D   |   |  |  |

(1)

M

5,932

(2)

\$ 48.46 30,139

D

### Edgar Filing: BOK FINANCIAL CORP ET AL - Form 4

Common 11/24/2015 68.6884 24,207 D Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Price<br>Deriva<br>Securit<br>(Instr. |
|---|---|---|---|--|---|--|--------------------|---|--|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| 2009<br>Stock<br>Options                            | \$ 36.65  | 11/20/2015                              |   | M                                      | 7,155   | <u>(5)</u>   | <u>(6)</u>         | Common<br>Stock   | 7,155                                  | \$ (                                     |
| 2011<br>Stock<br>Options                            | \$ 55.94  | 11/20/2015                              |   | M                                      | 3,200   | <u>(5)</u>   | <u>(6)</u>         | Common<br>Stock   | 3,200                                  | \$ (                                     |
| 2008<br>Stock<br>Options                            | \$ 48.46  | 11/23/2015                              |   | M                                      | 5,932   | <u>(5)</u>   | <u>(6)</u>         | Common<br>Stock   | 5,932                                  | \$ (                                     |

# **Reporting Owners**

Relationships Reporting Owner Name / Address Other Director 10% Owner Officer

Parker Donald T C/O FREDERIC DORWART LAWYERS 124 EAST FOURTH STREET **TULSA, OK 74103** 

Chief Risk Officer

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## **Signatures**

/s/ Frederic Dorwart, Power of Attorney

11/24/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person undertakes to provide to BOK Financial Corporation, any security holder of BOK Financial Corporation, or the staff (1) of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.
- (2) The price reported in Column 4 is a weighted price. The shares were sold in multiple transactions at prices ranging from \$68.97 to 69.45, inclusive.
- The reporting person undertakes to provide to BOK Financial Corporation, any security holder of BOK Financial Corporation, or the staff (3) of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (4) to this Form 4.
- (4) The price reported in Column 4 is a weighted price. The shares were sold in multiple transactions at prices ranging from \$68.672 to 68.89, inclusive.
- (5) For options granted in any one year, one-seventh of the options of such grant vest and become exercisable on the grant date of the anniversary each year commencing on the first anniversary after the grant.
- (6) Options expire 3 years after vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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