HELMERICH HANS

Form 4

August 09, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number: January 31, Expires:

OMB APPROVAL

2005 Estimated average

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

Issuer

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

HELMERICH HANS

1. Name and Address of Reporting Person *

		CIMA	REX EN	ERGY C	O [XEC]	(Check all applicable)					
	AREX ENERGY LINCOLN STRE	(Month/ 08/09/	3. Date of Earliest Transaction (Month/Day/Year) 08/09/2018				Director 10% Own Officer (give title Other (special below)				
DENVER,	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) DENVER, CO 80203					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securion(A) or Di (D) (Instr. 3,	sposed of 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
Common Stock						11,450 (2)	I	By Wife			
Common Stock						1,062	I	Co-Manager of Helmerich Grandchildren LLC			
Common Stock						7,865	I	Trustee of Family Trust			

Common Stock							36,430	I	Co-Trustee of The Helmerich Trust (fka Co-Trustee of The Helmerich Foundation)
Common Stock							325,000	I	Peggy Helmerich QTIP Trust (fka Trustee of Estate of W.H. Helmerich III)
Common Stock	08/09/2018	G	V	10,000 (3)	A	\$0	10,000	I	Saddleridge, LLC
Common Stock	08/09/2018	G	V	10,000 (4)	D	\$ 0	43,903	I	Trustee, 1993 Hans Helmerich Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of) Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	S	ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Owne Follo Repo Trans (Instr
				Code `	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Reporting Owners 2

HELMERICH HANS C/O CIMAREX ENERGY CO. 1700 LINCOLN STREET, SUITE 3700 DENVER, CO 80203

Signatures

Francis B. Barron, as Attorney-in-Fact

08/09/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,788 shares of restricted stock subject to service-based vesting.
- (2) Mr. Helmerich disclaims beneficial ownership of these shares.
- (3) Represents a gift for estate planning purposes. The Reporting Person owns 99% and his wife owns 1% of Saddleridge, LLC.
- (4) Represents a gift for estate planning purposes. The Reporting Person owns 99% and his wife owns 1% of Saddleridge, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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