KOMAR SAMUEL Form 4 December 06, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

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Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KOMAR SAMUEL

2. Issuer Name and Ticker or Trading Symbol

CTI INDUSTRIES CORP [CTIB]

5. Relationship of Reporting Person(s) to

Issuer

3. Date of Earliest Transaction

(Check all applicable)

(First)

(Month/Day/Year)

11/30/2012

Filed(Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify

below) below) Vice President Marketing

22160 NORTH PEPER ROAD

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

Person

BARRINGTON, IL 60010

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

(Middle)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (T) (Instr. 4) (Instr. 4)

Reported Transaction(s)

Code V Amount (D) Price

(A)

(Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number 6. Date Exercisable and 7. Title and Amount of Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Derivative **Expiration Date Underlying Securities** Security or Exercise any Code Securities (Month/Day/Year) (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Option Grant - Right to Buy	\$ 5.17	11/30/2012		A	2,400	05/30/2013	11/30/2017	Common Stock	2,400
Incentive Stock Option Grant - Right to Buy	\$ 5.17	11/30/2012		A	2,400	05/30/2014	11/30/2017	Common Stock	2,400
Incentive Stock Option Grant - Right to Buy	\$ 5.17	11/30/2012		A	2,400	05/30/2015	11/30/2017	Common Stock	2,400
Incentive Stock Option Grant - Right to Buy	\$ 5.17	11/30/2012		A	2,400	05/30/2016	11/30/2017	Common Stock	2,400
Incentive Stock Option Grant - Right to Buy	\$ 5.17	11/30/2012		A	2,400	05/30/2017	11/30/2017	Common Stock	2,400

# **Reporting Owners**

		Relationships			
Director	10% Owner	Officer	Other		
		Vice President Marketing			
	Director	Director 10% Owner	Director 10% Owner Officer		

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Date

BARRINGTON, IL 60010

# **Signatures**

Jonathan K. Miller, Attorney in Fact Samuel Komar 12/05/2012

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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