Strazik Scott Form 3 January 08, 2019

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement GENERAL ELECTRIC CO [GE] **Â** Strazik Scott (Month/Day/Year) 01/01/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 41 FARNSWORTH STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director _X_ Form filed by One Reporting _X__ Officer Other Person (give title below) (specify below) BOSTON, MAÂ 02210 Form filed by More than One Senior Vice President Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 29,969 Common Stock 60,550 I 401(k)Common Stock Ι 11,662 Spouse's 401(k) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership

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			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Units	(2)	(2)	Common Stock	3,000	\$ (1)	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	10,000	\$ <u>(1)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	30,000	\$ (1)	D	Â
Restricted Stock Units	(5)	(5)	Common Stock	20,000	\$ (1)	D	Â
Employee Stock Option (right to buy) (6)	06/09/2012	06/09/2021	Common Stock	30,000	\$ 18.58	D	Â
Employee Stock Option (right to buy) (6)	09/07/2013	09/07/2022	Common Stock	40,000	\$ 21.59	D	Â
Employee Stock Option (right to buy) (6)	09/13/2014	09/13/2023	Common Stock	50,000	\$ 23.78	D	Â
Employee Stock Option (right to buy) (6)	09/05/2015	09/05/2024	Common Stock	75,000	\$ 26.1	D	Â
Employee Stock Option (right to buy) (6)	09/11/2016	09/11/2025	Common Stock	90,000	\$ 24.95	D	Â
Employee Stock Option (right to buy) (6)	09/30/2017	09/30/2026	Common Stock	110,000	\$ 29.62	D	Â
Employee Stock Option (right to buy) (6)	11/17/2018	11/17/2027	Common Stock	115,000	\$ 18.21	D	Â
Employee Stock Option (right to buy) (7)	01/29/2021	01/29/2028	Common Stock	125,000	\$ 16.28	D	Â
Employee Stock Option (right to buy) (8)	03/19/2019	03/19/2028	Common Stock	325,025	\$ 14.07	D	Â
Employee Stock Option (right to buy) (9)	12/21/2019	12/21/2028	Common Stock	500,000	\$ 7.15	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Strazik Scott 41 FARNSWORTH STREET BOSTON Â MAÂ 02210	Â	Â	Senior Vice President	Â		

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Signatures

Julia Chen on behalf of Scott Strazik

01/08/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1-for-1
- (2) 15,000 units granted on 7/24/2014; 3,000 units vested on 7/24/2015; 3,000 units vested on 7/24/2016; 3,000 units vested on 7/24/2017; 3,000 units vested on 7/24/2018; and 3,000 units are scheduled to vest on 7/24/2019.
- (3) 25,000 units granted on 9/11/2015; 5,000 units vested on 9/11/2016; 5,000 units vested on 9/11/2017; 5,000 units vested on 9/11/2018; 5,000 units are scheduled to vest on 9/11/2020.
- (4) 50,000 units granted on 7/28/2016; 10,000 units vested on 7/28/2017; 10,000 units vested on 7/28/2018; 10,000 units are scheduled to vest on 7/28/2019; 10,000 units are scheduled to vest on 7/28/2020; and 10,000 units are scheduled to vest on 7/28/2021.
- (5) 25,000 units granted on 2/10/2017; 5,000 units vested on 2/10/2018; 5,000 units are scheduled to vest on 2/10/2019; 5,000 units are scheduled to vest on 2/10/2020; 5,000 units are scheduled to vest on 2/10/2021; and 5,000 units are scheduled to vest on 2/10/2022.
- (6) The options become exercisable in five equal installments of 20% each beginning on the "Date Exercisable" shown to the right, and another 20% become exercisable each year thereafter.
- (7) The options become exercisable in one installment on 1/29/2021.
- (8) The options become exercisable in three installments, with 108,341 options exercisable on 3/19/2019, and another 108,342 options exercisable on each of 3/19/2020 and 3/19/2021.
- (9) The options become exercisable in two equal installments of 50% each on 12/21/2020 and 12/21/2021.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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