Bugh John M Form 4 November 30, 2017

Check this box

if no longer

subject to

Section 16.

Form 4 or

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

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response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Bugh John M

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

GREAT SOUTHERN BANCORP,

(Check all applicable)

INC. [GSBC]

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner Other (specify Officer (give title

(Month/Day/Year)

below) below) Vice President of Subsidiary

11/15/2017

BANK, 218 S. GLENSTONE AVE (Street)

CARE OF GREAT SOUTHERN

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SPRINGFIELD, MO 65802

(State) (Zip) 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

or (Instr. 3 and 4)

Common stock

(City)

1. Title of

Security

(Instr. 3)

Code V Amount (D) Price

1,790 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: Bugh John M - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Derivative (Month/Day/Y		e	(Instr. 3 and 4) S		8. D Se (I
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to purchase	\$ 52.2	11/15/2017		A	700	11/15/2019	11/15/2027	Common stock	700	
Option to purchase	\$ 52.2	11/15/2017		A	700	11/15/2020	11/15/2027	Common stock	700	
Option to purchase	\$ 52.2	11/15/2017		A	700	11/15/2021	11/15/2027	Common stock	700	
Option to purchase	\$ 52.2	11/15/2017		A	700	11/15/2022	11/15/2027	Common stock	700	
Option to purchase	\$ 16.81					<u>(1)</u>	09/26/2021	Common stock	10,000	
Option to purchase	\$ 24.82					(2)	11/28/2022	Common stock	1,500	
Option to purchase	\$ 29.64					<u>(3)</u>	12/18/2023	Common stock	1,500	
Common stock	\$ 32.59					<u>(4)</u>	10/15/2024	Common stock	1,750	
Common stock	\$ 50.71					(5)	11/18/2025	Common stock	1,750	
Common stock	\$ 41.3					<u>(6)</u>	10/24/2026	Common stock	2,500	

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Bugh John M CARE OF GREAT SOUTHERN BANK 218 S. GLENSTONE AVE SPRINGFIELD, MO 65802

Vice President of Subsidiary

Reporting Owners 2

# **Signatures**

Matt Snyder, Attorney-in-fact for John M. Bugh

11/30/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2,500 shares vest on 09/26/2013, 09/26/2014, 09/26/2015 and 09/26/2016
- (2) 375 shares vest on 11/28/2014, 11/28/2015, 11/28/2016 and 11/28/2017
- (3) 375 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018
- (4) 438 shares vest on 10/15/2016 and 10/15/2017, and 437 shares vest on 10/15/2018 and 10/15/2019
- (5) 438 shares vest on 11/18/2017 and 11/18/2018, and 437 shares vest on 11/18/2019 and 11/18/2020
- (6) 625 shares vest on 10/24/2018, 10/24/2019, 10/24/2020 and 10/24/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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