#### Edgar Filing: GREAT SOUTHERN BANCORP INC - Form 4

#### GREAT SOUTHERN BANCORP INC

Form 4

November 20, 2015

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MITCHEM STEVEN G Issuer Symbol GREAT SOUTHERN BANCORP (Check all applicable) INC [GSBC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify Officer (give title (Month/Day/Year) below) CARE OF GREAT SOUTHERN 11/18/2015 Senior Vice Pres of Subsidiary BANK, 21 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SPRINGFIELD, MO 65802 (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 5. Amount of 2. Transaction Date 2A. Deemed 3. 4. Securities 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Indirect (I) Ownership (Month/Day/Year) (Instr. 8) Owned (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common 57,988 D stock Common **Spouse** 30,202 I stock Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number op Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase	\$ 50.71	11/18/2015		A	1,050	11/18/2017	11/18/2025	Common Stock	1,050
Option to purchase	\$ 50.71	11/18/2015		A	1,050	11/18/2018	11/18/2025	Common Stock	1,050
Option to purchase	\$ 50.71	11/18/2015		A	1,050	11/18/2019	11/18/2025	Common Stock	1,050
Option to purchase	\$ 50.71	11/18/2015		A	1,050	11/18/2020	11/18/2025	Common Stock	1,050
Option to purchase	\$ 19.53					<u>(1)</u>	11/16/2021	Common stock	2,100
Option to purchase	\$ 24.82					(2)	11/28/2022	Common stock	3,150
Option to purchase	\$ 29.64					<u>(3)</u>	12/18/2023	Common stock	4,200
Option to purchase	\$ 32.59					<u>(4)</u>	10/15/2024	Common stock	4,200

# **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships						
Reporting Owner Fame / Factoress	Director	10% Owner	Officer	Other			
MITCHEM STEVEN G CARE OF GREAT SOUTHERN BANK 21 SPRINGFIELD, MO 65802				Senior Vice Pres of Subsidiary			
Signatures							
Matt Snyder, Attorney-in-fact for Steven G. Mitchem		11/18/2015					

Reporting Owners 2

Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 1,050 shares vest on 11/16/2015 and 11/16/2016
- (2) 1,050 shares vest on 11/28/2015, 11/28/2016 and 11/28/2017
- (3) 1,050 shares vest on 12/18/2015, 12/18/2016, 12/18/2017 and 12/18/2018
- (4) 1,050 shares vest on 10/15/2016, 10/15/2017, 10/15/2018 and 10/15/2019

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.