

MOLL CURTIS
Form 4
March 14, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
MOLL CURTIS

2. Issuer Name **and** Ticker or Trading
Symbol
SHILOH INDUSTRIES INC
[SHLO]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

5903 GRAFTON ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2019

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

VALLEY CITY, OH 44280

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/12/2019		A	9,680 (1)	\$ 0 210,331	D	
Common Stock					604,400	I	By Corporation Pension Plan (2)
Common Stock					7,300,866	I	By Corporation (3)
Common Stock					20,000	I	By Foundation

(4)

Common
Stock

1,104

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
MOLL CURTIS 5903 GRAFTON ROAD VALLEY CITY, OH 44280	X

Signatures

/s/ Amy Floraday, as
attorney-in-fact

03/14/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Grant of restricted stock under the Shiloh Industries, Inc. 2019 Equity and Incentive Compensation Plan.

(2) These shares are owned of record by the MTD Products Inc Master Employee Benefit Trust, a trust fund established and sponsored by MTD Products Inc. Mr. Moll is the Chairman of the Board of Directors and President of Oak Tree Holdings LLC and is a director of

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MTD Holdings Inc, both of these entities are affiliates of MTD Products Inc.

These shares are owned of record by Oak Tree Archway LLC and indirectly owned by Oak Tree Holdings LLC. Mr. Moll is the

- (3) Chairman of the Board of Directors and President of Oak Tree Holdings LLC and is a director of MTD Holdings Inc, an affiliate of Oak Tree Holdings LLC.
- (4) These shares are held by the Jochum Moll Foundation, a charitable organization, in which Mr. Moll shares voting and investment power over all of the foundation's assets.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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