Edgar Filing: Hineline Lawrence J. - Form 4

Hineline La Form 4												
January 08,	_									OMB AF	PROVAL	
FORM	1 4 UNITED S	STATES						NGE C	OMMISSION	OMB Number:	3235-0287	
Subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction Filed pursuant to S Section 17(a) of the I 30(h)			Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940						Expires:January 31Extimated averageburden hours perresponse0.3			
1(b). (Print or Type	Responses)											
	Address of Reporting I	Person <u>*</u>	Symbol			Ticker or T			5. Relationship of Issuer			
(Last) (First) (Middle) 3. Date of				of Earliest Transaction Director Day/Year) Director 2019 X Officer below) below)					Director X Officer (give below)	heck all applicable) 10% Owner give title Other (specify below) VP of Finance CFO		
NEW YOR	(Street) RK, NY 10016			endment, I nth/Day/Ye		te Original			6. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Pe	rson	
(City)	(State)	(Zip)	Tabl	le I - Non-	-De	erivative S	Securi	ties Acqu	uired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executior any	emed 3. 4. Securities Acquired 5. Amount of				6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common Stock	01/04/2019			M		3,108	A	<u>(1)</u>	41,598	D		
Common Stock	01/04/2019			S <u>(2)</u>		19,716	D	\$ 11.42 (<u>3)</u>	21,882	D		
Common Stock	01/04/2019			S <u>(2)</u>		1,742	D	\$ 11.84 (4)	20,140	D		
Common Stock	01/07/2019			S <u>(2)</u>		3,108	D	\$ 12.42	17,032	D		

					(5)		
Common Stock	01/08/2019	G <u>(6)</u>	1,200	D	\$0	15,832	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Numbe orDerivative Securities Acquired Disposed (Instr. 3, 4	e (A) or of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Restricted Stock Units	<u>(7)</u>	01/04/2019		М		3,108	(8)	(8)	Common Stock	3,10
Stock Option (right to buy)	\$ 12.73	01/08/2019		A	80,951		<u>(9)</u>	01/07/2029	Common Stock	80,9:
Restricted Stock Units	(7)	01/08/2019		А	58,915		(10)	(10)	Common Stock	58,9

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hineline Lawrence J. C/O INTRA-CELLULAR THERAPIES, INC. 430 EAST 29TH STREET NEW YORK, NY 10016			SVP of Finance CFO				

Signatures

/s/ Lawrence J. Hineline 01/08/2019

Date

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 1, 2018.(2) A majority of the proceeds from these sales will be used to cover the reporting person's tax liability arising from the vesting of restricted stock units.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.81 to \$11.81, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(3) Securities and Exchange Commission, on request, full information regarding the number of shares sold at each separate price within the range set forth above.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$11.82 to \$11.86, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Sacurities and Exchange Commission on request full information recording the number of shares sold at each separate price within the

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The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$12.08 to \$12.56, inclusive. The reporting person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

- (5) Securities and Exchange Commission, on request, full information regarding the number of shares sold at each separate price within the range set forth above.
- (6) The gift reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on June 1, 2018.
- (7) Each restricted stock unit represents a contingent right to receive one share of common stock.
- (8) On January 4, 2016, the reporting person was granted 9,323 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (9) On January 8, 2019, the reporting person was granted options to purchase 80,951 shares of common stock, vesting in three equal annual installments beginning on the first anniversary of the grant date.
- (10) On January 8, 2019, the reporting person was granted 58,915 restricted stock units, vesting in three equal annual installments beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.