## Edgar Filing: Payne Christopher D - Form 4

| Payne Chris<br>Form 4   | topher D   |  |   |  |                          |   |  |  |  |  |  |  |
|---|--|--|---|--|--------------------------|---|--|--|--|--|--|--|
| October 02,   |  |  |   |  |                          |   |  |  |  |  |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION                 |  |  |   |  |                          |   |  | NT   | OMB APPROVAL   |  |  |  |
| Charle (I   |  | Washington, D.C. 20549                     |   |  |                          |   | Number:  | 3235-0   |  |  |  |  |
| Check th<br>if no lon   | ger  |  |   |  |                          | Expires:                                  | January<br>2   | / 31,<br>2005  |  |  |  |  |
| subject t<br>Section<br>Form 4 o<br>Form 5                              | F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES                           |  |   |  |                          | Estimated<br>burden hou<br>response       | Estimated average<br>burden hours per<br>response 0.   |  |  |  |  |  |
| obligatio<br>may con<br><i>See</i> Instr<br>1(b).                       | ons Section 17(  | a) of the l                                | Public U  | Itility Hol  | ding Con                 |   | nge Act of 1934,<br>of 1935 or Secti<br>940  |  |  |  |  |  |
| (Print or Type  | Responses)   |  |   |  |                          |   |  |  |  |  |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Payne Christopher D |  |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>Gogo Inc. [GOGO] |  |                          | Trading                                   | 5. Relationship of Reporting Person(s) to<br>Issuer  |  |  |  |  |  |
| (Last) (First) (Middle)   |  |  | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>09/28/2018         |  |                          |   | (Check all applicable)   |  |  |  |  |  |
| 111 N. CAI  | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below) |  |   |  |                          |   |  |  |  |  |  |  |
|   |  |  |   | 4. If Amendment, Date Original Filed(Month/Day/Year) |                          |   | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |  |  |  |  |  |
| CHICAGO   | , IL 60606   |  |   |  |                          |   | Person   | More than One R  | eporting   |  |  |  |
| (City)  | (State)  | (Zip)                                      | Tab   | le I - Non-l   | Derivative               | Securities A                              | cquired, Disposed  | of, or Beneficia   | lly Owned  |  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)                                    | 2. Transaction Date<br>(Month/Day/Year)                                      | 2A. Deema<br>Execution<br>any<br>(Month/Da | Date, if  | 3.<br>Transactio<br>Code<br>(Instr. 8)               | Disposed<br>(Instr. 3, 4 | (A) or<br>of (D)<br>4 and 5)<br>(A)<br>or | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)   | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I)<br>(Instr. 4) | 7. Nature o<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |  |
|   |  |  |   | Code V   | Amount                   | (D) Price                                 | (, ,   |  |  |  |  |  |
| Reminder: Rej   | port on a separate line  | e for each cl                              | ass of sec  | urities bene   | -                        |   | or indirectly.   | ation of a   | SEC 1474   |  |  |  |
|   |  |  |   |  | inforn<br>requir         | nation cont<br>ed to respo<br>ys a currer | n are not<br>rm  | (9-02)   |  |  |  |  |
|   |  |  |   |  |                          |   |  |  |  |  |  |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5. Number       | 6. Date Exercisable and | 7. Title and Amount of | 8 |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|---|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onof Derivative | Expiration Date         | Underlying Securities  | J |
| Security    | or Exercise |                     | any                | Code       | Securities      | (Month/Day/Year)        | (Instr. 3 and 4)       | Ś |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Acquired        |                         |                        | ( |

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|   | Derivative<br>Security |            |                                  | Code V     | (A) or<br>Dispose<br>(D)<br>(Instr. 3<br>and 5)<br>(A) | , 4, | Date<br>Exercisable | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
|---|------------------------|------------|----------------------------------|------------|--|------|---------------------|--------------------|-----------------|--|
| Deferred<br>Share<br>Units  | <u>(1)</u>             | 09/28/2018 |                                  | А          | 5,780  |      | <u>(1)</u>          | <u>(1)</u>         | Common<br>Stock | 5,780                                  |
| Options<br>(Right to<br>Buy)  | \$ 5.19                | 09/28/2018 |                                  | А          | 6,190  |      | 09/28/2018          | 09/28/2028         | Common<br>Stock | 6,190                                  |
| Reporting Owners  |                        |            |                                  |            |  |      |                     |                    |                 |  |
| Reporting Owner Name / Address  |                        |            | Rela                             | ationships |  |      |                     |                    |                 |  |
|   |                        |            | Director 10% Owner Officer Other |            |  |      |                     |                    |                 |  |
| Payne Christopher D<br>111 N. CANAL STREET, STE 1500<br>CHICAGO, IL 60606 |                        |            | Х                                |            |  |      |                     |                    |                 |  |
| Signatures  |                        |            |                                  |            |  |      |                     |                    |                 |  |
| /s/ Margee Elias, Attorney-in-Fact for Christopher Payne                  |                        |            |                                  |            | 10/02/2  | 2018 |                     |                    |                 |  |
| **Signature of Reporting Person   |                        |            |                                  | Date       | e  |      |                     |                    |                 |  |

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Deferred stock units that are settled in shares of common stock 90 days after the director ceases service as a director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.