JOSHI VYOMESH

Form 4 August 17, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

2. Issuer Name and Ticker or Trading

OMB 3235-0287

**OMB APPROVAL** 

Number:

January 31,

Expires:

2005

response...

5. Relationship of Reporting Person(s) to

Estimated average burden hours per 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

See Instruction

JOSHI VYOMESH			nbol Issuer SYSTEMS CORP [DDD]	Issuer  (Check all applicable)			
(Last)	(First)	(Middle) 3. ]	Date of Earliest Transaction	(Check an applicable)			
333 THRE	EE D SYSTEMS (	(M	onth/Day/Year)X_ I	Director 10% Owner  Officer (give title Other (specify below)  President and CEO			
ROCK HII	(Street) LL, SC 29730		ed(Month/Day/Year) Applical _X_ For	ridual or Joint/Group Filing(Check ble Line) rm filed by One Reporting Person rm filed by More than One Reporting			
(City)	(State)	(Zip)					
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, D	isposed of, or Beneficially Owned			
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deemed Execution Dat any (Month/Day/Y	Code (Instr. 3, 4 and 5) Benefic Owned Follow (A) Or  (A)  Code (Instr. 3, 4 and 5)  Owned Follow Report Transactors	cies Ownership Indirect cially Form: Beneficial I Direct (D) Ownership ring or Indirect (Instr. 4)			
Common Stock	08/15/2018		F 15,324 D 18.87 164,1	02 <u>(2)</u> D			
				2001 Joshi			

Living Trust u/a/d 12/7/2001,

Vyomesh I.

Joshi and Uma V. Joshi, **Trustees** 

64,074

I

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Da	ate	Amou	int of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	]
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired						J
					(A) or						]
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	Title	or Number		
						Exercisable Date	ritte	of			
				Code V	(A) (D)						
				Code v	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

JOSHI VYOMESH 333 THREE D SYSTEMS CIRCLE ROCK HILL, SC 29730

X

President and CEO

#### **Signatures**

/s/ Andrew M. Johnson, Attoney-in-Fact

08/17/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock, the value of which was withheld to satisfy tax withholding obligations with respect to shares that vested on August 15, 2018.
- (2) Excludes 64,074 shares previously held directly which were transferred to the 2001 Joshi Living Trust u/a/d 12/7/2001, Vyomesh I. Joshi and Uma V. Joshi, Trustees. This transfer did not change the Reporting Person's total beneficial ownership as of the transaction date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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