#### SOLCHER STEPHEN B

Form 4 July 03, 2018

# FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * SOLCHER STEPHEN B |   |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Main Street Capital CORP [MAIN] |                |   |               |  |   | 5. Relationship of Reporting Person(s) to Issuer   |  |   |  |
|---|---|---|--|----------------|---|---------------|--|---|--|--|---|--|
| (Last)  | (First)                                 | (Middle)  | 3. Date of Earliest Transaction (Month/Day/Year)                                   |                |   |               |  | •   | (Check all applicable)  X Director 10% Owner   |  |   |  |
| 1300 POST OAK BLVD., 8TH<br>FLOOR                           |   |   | 06/26/2018   |                |   |               |  |   | Officer (give title Delow) Other (specification)   |  |   |  |
|   | (Street)                                |   | Amendment, Date Original<br>(Month/Day/Year)                                       |                |   |               |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |   |  |
| HOUSTON   |   |   |  |                |   |               | Form filed by More than One Reporting Person |   |  |  |   |  |
| (City)  | (State)                                 | (Zip)   | Tab  | le I - No      | on-D  | erivative S   | Securi                                       | ities Acqu  | uired, Disposed of   | , or Beneficiall   | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)                        | 2. Transaction Date<br>(Month/Day/Year) | Transaction Date 2A. Deemed execution Date, is any (Month/Day/Year) |  |                | Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or |               |  |   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |  |
| Common<br>Stock   | 06/26/2018                              |   |  | Code $J_{(1)}$ | V<br>V                                      | Amount 28.526 | (D)  | Price \$ 37.85  | 13,850.9845  | D  |   |  |
| Common<br>Stock   | 06/26/2018                              |   |  | J(1)           | V   | 71.524        | A  | \$<br>38.05   | 13,922.5085  | D  |   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.<br>T    | 5.         | 6. Date Exer |                 | 7. Titl |          | 8. Price of | 9. Nu   |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-----------------|---------|----------|-------------|---------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if |            | ionNumber  | Expiration D |                 | Amou    |          | Derivative  | Deriv   |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day   | (Year)          | Under   | , ,      | Security    | Secui   |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e            |                 | Securi  | ities    | (Instr. 5)  | Bene    |
|             | Derivative  |                     |                    |            | Securities | 3            |                 | (Instr. | 3 and 4) |             | Owne    |
|             | Security    |                     |                    |            | Acquired   |              |                 |         |          |             | Follo   |
|             | •           |                     |                    |            | (A) or     |              |                 |         |          |             | Repo    |
|             |             |                     |                    |            | Disposed   |              |                 |         |          |             | Trans   |
|             |             |                     |                    |            | of (D)     |              |                 |         |          |             | (Instr  |
|             |             |                     |                    |            | (Instr. 3, |              |                 |         |          |             | (211512 |
|             |             |                     |                    |            | 4, and 5)  |              |                 |         |          |             |         |
|             |             |                     |                    |            | 4, and 3)  |              |                 |         |          |             |         |
|             |             |                     |                    |            |            |              |                 |         | Amount   |             |         |
|             |             |                     |                    |            |            | <b>.</b>     | <b>.</b>        |         | or       |             |         |
|             |             |                     |                    |            |            |              | Expiration Date | Title 1 | Number   |             |         |
|             |             |                     |                    |            |            |              |                 |         | of       |             |         |
|             |             |                     |                    | Code V     | (A) (D)    |              |                 |         | Shares   |             |         |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

10% Owner Officer Other Director

SOLCHER STEPHEN B 1300 POST OAK BLVD. 8TH FLOOR HOUSTON, TX 77056

X

# **Signatures**

/s/ Jason B. Beauvais as Attorney-in-Fact for Stephen B. Solcher

07/03/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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