

KANAYA SUSAN M

Form 4

June 28, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
KANAYA SUSAN M

(Last) (First) (Middle)

C/O CHEMOCENTRYX, INC., 850
MAUDE AVENUE

(Street)

MOUNTAIN VIEW, CA 94043

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ChemoCentryx, Inc. [CCXI]

3. Date of Earliest Transaction
(Month/Day/Year)

06/26/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director _____ 10% Owner
____X____ Officer (give title _____ Other (specify
below) below)
EVP, CFO and Sec.

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price		
Common Stock	06/26/2018		M		850	A	\$ 6	38,985	D
Common Stock	06/26/2018		<u>S⁽¹⁾</u>		850 <u>(2)</u>	D	\$ 13.25	38,135	D
Common Stock	06/27/2018		M		49,150	A	\$ 6	87,285	D
Common Stock	06/27/2018		<u>S⁽¹⁾</u>		49,150 <u>(2)</u>	D	\$ 13.25	38,135	D
Common Stock	06/28/2018		M		42,500	A	\$ 6	80,635	D

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Common Stock	06/28/2018	S ⁽¹⁾	42,500 ⁽²⁾	D	\$ 13.75	38,135	D
Common Stock	06/28/2018	M	7,007	A	\$ 3.57	45,142	D
Common Stock	06/28/2018	S	7,007 ⁽²⁾	D	\$ 14.0033 ⁽³⁾	38,135	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Stock Option (right to buy)	\$ 6	06/26/2018		M	850	07/28/2009 ⁽⁴⁾ 07/28/2019	Common Stock 850
Stock Option (right to buy)	\$ 6	06/27/2018		M	49,150	07/28/2009 ⁽⁴⁾ 07/28/2019	Common Stock 49,150
Stock Option (right to buy)	\$ 6	06/28/2018		M	42,500	07/28/2009 ⁽⁴⁾ 07/28/2019	Common Stock 42,500
Stock Option (right to buy)	\$ 3.57	06/28/2018		M	7,007	⁽⁶⁾ 03/07/2026	Common Stock 7,007

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KANAYA SUSAN M C/O CHEMOCENTRYX, INC. 850 MAUDE AVENUE MOUNTAIN VIEW, CA 94043			EVP, CFO and Sec.	

Signatures

/s/ Susan M.
Kanaya

06/28/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold are associated with stock options that will expire in July 2019.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.
Reflects the weighted average sale price of the sales sold. The shares were sold in multiple trades at prices ranging from \$14.00 to \$14.01
- (3) per share. The Reporting Person will provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.
- (4) As of June 26, 2018, and prior to this transaction, the exercised options were fully vested.
- (5) Not applicable.
- (6) As of June 28, 2018, the exercised options were fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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