

BUFFETT WARREN E

Form 4

January 03, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUFFETT WARREN E

2. Issuer Name and Ticker or Trading Symbol
BERKSHIRE HATHAWAY INC
[BRK.A]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
3555 FARNAM STREET
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/02/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

OMAHA, NE 68131

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) Price | | | |
| Class B Common Stock | 07/25/2017 ⁽¹⁾ | | G | V 6,324 | D \$ 0 188,571 | D | |
| Class B Common Stock | 08/01/2017 ⁽¹⁾ | | G | V 11,926 ⁽¹⁾ | D \$ 0 176,645 | D | |
| Class B Common Stock | 09/08/2017 ⁽¹⁾ | | G | V 50 ⁽¹⁾ | D \$ 0 176,595 | D | |
| Class B Common | 09/11/2017 ⁽¹⁾ | | G | V 39,887 ⁽¹⁾ | D \$ 0 136,708 | D | |

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| | | | | | | | | | |
|----------------------------|---------------------------|--|---|---|------------------------|---|------|---------|---|
| Stock | | | | | | | | | |
| Class B Common Stock | 10/19/2017 ⁽¹⁾ | | G | V | 100 ⁽¹⁾ | D | \$ 0 | 136,608 | D |
| Class B Common Stock | 11/30/2017 ⁽²⁾ | | G | V | 100 ⁽²⁾ | D | \$ 0 | 136,508 | D |
| Class B Common Stock | 12/19/2017 ⁽¹⁾ | | G | V | 6,851 ⁽¹⁾ | D | \$ 0 | 129,657 | D |
| Class B Common Stock | 01/02/2018 | | C | | 150,000 | A | \$ 0 | 279,657 | D |
| Class B Common Stock | 01/03/2018 ⁽¹⁾ | | G | V | 150,000 ⁽¹⁾ | D | \$ 0 | 129,657 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|----------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| | | | | | | Code | V | (A) | (D) | |
| Class A Common Stock | ⁽³⁾ | 01/02/2018 | | C | 100 | ⁽³⁾ | ⁽³⁾ | See Footnote ⁽⁴⁾ | ⁽⁴⁾ | ⁽³⁾ |

Reporting Owners

Reporting Owner Name / Address Relationships

Reporting Owners

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| | Director | 10% Owner | Officer | Other |
|---|----------|-----------|------------------|-------|
| BUFFETT WARREN E 3555 FARNAM STREET OMAHA, NE 68131 | X | X | Chairman and CEO | |

Signatures

/s/ Warren E.
Buffett

01/03/2018

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of these reported transactions was a gift from Warren E. Buffett to a charity or charitable foundation.
- (2) The reported transaction was a gift from Warren E. Buffett to an individual.
- (3) Not applicable.
- (4) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. In accordance with the instructions to Form 4, my holdings of Class A Common Stock are reportable in Table II.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.