Edgar Filing: GUERIN JOHN PATRICK ET AL - Form 4

GUERIN JOHN PATRICK ET AL Form 4 December 01, 2017 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **GUERIN JOHN PATRICK ET AL** Issuer Symbol DAILY JOURNAL CORP [DJCO] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) _ Director X__ 10% Owner Х Other (specify Officer (give title 355 SOUTH GRAND 11/29/2017 below) below) AVENUE. STE 1710 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting LOS ANGELES, CA 90071-1560 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of TransactionAcquired (A) or Indirect Security (Month/Day/Year) Execution Date, if Securities Form: Direct (Instr. 3) Code Disposed of (D) Beneficially (D) or Beneficial any (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V (D) Price Amount By the Common Guerin S 11/29/2017 477 D 139,523 Ι Stock 240Family Trust By the Guerin Common 11/30/2017 S 23 D 139,500 I Stock 240Family Trust By the Common Guerin 12/01/2017 S 500 D 139.000 I Stock 234Family

Trust

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Common Stock			50,000	By the Fabienne and John I Patrick Guerin Family Trust							
Reminder: F	leport on a sep	parate line for each cla	ss of securities benef	Person inform require	ns who res ation con ed to resp ys a curre	or indirectly. spond to the tained in thi pond unless ently valid Ol	s form are the form	e not	SEC 14 (9-0		
			ative Securities Acq puts, calls, warrants				Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	of	(Month/Day/Year) tive ies ed ed 3,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Repo	rting O	wners									
Reporting Owner Name / Address				onships her Office	er Other						
355 SOU STE 1710	TH GRANI)	TRICK ET AL D AVENUE 90071-1560	x x								
Signa /s/ John H Guerin		12/01/201	7								

Guerin <u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.