## Edgar Filing: MOODYS CORP /DE/ - Form 4

| MOODYS (<br>Form 4<br>March 02, 2  |   |   |  |   |  |          |   |  |   |   |  |
|--|---|---|--|---|--|----------|---|--|---|---|--|
| FORM   | ЛЛ  |   |  |   |  |          |   |  | OMB AP  | PROVAL  |  |
|  | UNITED  | Washington, D.C. 20549  |  |   |  |          |   |  | OMB<br>Number:  | 3235-0287   |  |
| Check th<br>if no lon<br>subject t<br>Section<br>Form 4 o<br>Form 5<br>obligatio<br>may con<br><i>See</i> Instu<br>1(b). | ger<br>o<br>16.<br>or<br>Filed pur<br>ons<br>tinue. | STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       Image: Securities and the securities and |  |   |  |          |   |  |   |   |  |
| (Print or Type   | Responses)  |   |  |   |  |          |   |  |   |   |  |
| Cantor Richard Symbol  |   |   |  | er Name and Ticker or Trading OYS CORP /DE/ [MCO] |  |          |   | 5. Relationship of Reporting Person(s) to<br>Issuer  |   |   |  |
| (Last)   | (First) (I  |   |  | f Earliest T                                      |  |          | -   | (Check   | all applicable)   | )   |  |
|  |   |   |  | n/Day/Year)                                       |  |          |   | Director 10% Owner<br>X_ Officer (give title Other (specify<br>below) below)<br>Chief Risk Officer                 |   |   |  |
|  | (Street)  |   | 4. If Amendment, Date Original Filed(Month/Day/Year) |   |  |          | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)<br>_X_ Form filed by One Reporting Person |  |   |   |  |
| NEW YOR  | K, NY 10007   |   |  |   |  |          |   | Form filed by M<br>Person  |   |   |  |
| (City)   | (State)   | (Zip)   | Tabl   | le I - Non-I                                      | Derivative                             | Secur    | rities Acqu   | iired, Disposed of,  | or Beneficiall  | y Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)             | 2A. Deemo<br>Execution<br>any<br>(Month/Da  | Date, if   | 3.<br>Transactio<br>Code<br>(Instr. 8)            | 4. Securi<br>on(A) or Di<br>(Instr. 3, | spose    | d of (D)  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock  | 03/01/2017  |   |  | Code V<br>F                                       | Amount<br>4,997<br>(1)                 | (D)<br>D | Price<br>\$<br>112.91   | 61,298   | D   |   |  |
| Common<br>Stock  |   |   |  |   |  |          |   | 0.3436 (2)   | Ι   | 401-K   |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transact<br>Code<br>(Instr. 8) | 5.<br>iofNumber<br>of<br>Derivativ<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 3                   | ate                | 7. Tit<br>Amou<br>Unde<br>Secur<br>(Instr | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--------------------------------------|---|---------------------|--------------------|---|--|---|---|
|   |   |   | Code V                               | <sup>7</sup> (A) (D)  | Date<br>Exercisable | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of<br>Shares |   |   |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  | Relationships |               |                    |       |  |  |  |  |
|--|---------------|---------------|--------------------|-------|--|--|--|--|
|  | Director      | 10% Owner     | Officer            | Other |  |  |  |  |
| Cantor Richard<br>7 WORLD TRADE CENTER<br>250 GREENWICH STREET<br>NEW YORK, NY 10007 |               |               | Chief Risk Officer |       |  |  |  |  |
| Signatures   |               |               |                    |       |  |  |  |  |
| Elizabeth McCarroll, by power Cantor   | of attorne    | ey for Richar | rd<br>03/02/2      | 2017  |  |  |  |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition of vested performance accelerated restricted stock.

(2) As of last statement dated December 31, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date